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Why Cobalt macrocyclic complexes are not efficient catalysts for the oxygen 1

- 2 reduction reaction, under acidic conditions.
- 3

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8

Abstract 9

The activation of the oxygen reduction reaction (ORR) is of a primary importance for 10 the development of fuel cells. Cobalt macrocyclic complexes, e.g. those of 11 porphyrins, phthalocyanines, tetraazaannulenes (TAA), cyclam, have been tested in 12 order to replace platinum, the best catalyst but a rare and expensive metal. However 13 it has been noted in some studies that these complexes suffer from degradation in an 14 acidic environment. Suspicions have already been expressed that such degradation 15 16 are caused by hydrogen peroxide or demetallation, but the reason for the loss of activity of these catalysts has never been experimentally established. In the present 17 work, it has been clearly demonstrated that cobalt complexes are stable in the 18 presence of acids when the metal centre is at the Co(III) oxidation state but are 19 demetallated when it is reduced to the Co(II) oxidation state, which occurs during the 20 oxygen reduction reaction. Co²⁺ is a borderline Lewis acid in Pearson's classification 21 22 and thus can be substituted by H⁺ in an acidic environment.

1 Keywords : Oxygen reduction reaction (ORR), cobalt macrocyclic complexes,

2 demetallation, aqueous acidic solution.

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1. Introduction

1

The oxygen reduction reaction (ORR) at electrodes is important for realizing efficient 2 fuel cells. The reduction of dioxygen (O₂) is not easy, due to its electronic 3 4 configuration and to its high activation energy barrier; thus, ORR requires to be 5 catalysed [1, 2]. The mediation of a catalyst is required in order to reduce the overpotential of ORR, which causes an important loss of potential in any 6 7 electrochemical cell including an oxygen electrode, an issue of a primary importance for fuel cells [2]. Platinum is the best catalyst for ORR, a metal rare and of strategic 8 importance and consequently expensive, which is an obstacle to the development of 9 10 fuel cells. For that reason, the search for efficient substitutes to the precious metal has been intense. The importance of iron porphyrins in the transport and activation of 11 oxygen in biological systems being well known and documented, the possibility to 12 use iron porphyrins as catalysts has been tested, as well as cobalt complexes with 13 porphyrins and other cyclic macrocycles [3-9]. The efficiency of metal complexes for 14 15 ORR is known since a long time. The role of the metal centre has been scrutinized in 16 many works from which it appears that iron and cobalt complexes are good candidates [10]. The overpotential is reduced when cobalt is the metal centre instead 17 18 of iron. Accordingly, a huge number of works have been devoted to the study of the efficiency of cobalt(II) complexes. Fuel cells can be operated under various 19 conditions, but for practical reasons, in particular due to the presence of CO₂ in air, 20 the source of oxygen, it is advisable to work under acidic conditions. This of course 21 22 requires that the complexes must be stable in acid media.

The intent of the present work is not to compare the respective properties of the various metal complexes, or those of their association with the electrodes, in order to orientate the selection of the most appropriate combination for ORR; this has already

been extensively documented in an incommensurable number of publications and 1 2 reviews [11-14]. The purpose of this report is to explain why the cobalt complexes, despite their intrinsic promising qualities for the catalysis of ORR, cannot be efficient 3 catalysts for fuel cells working with acidic electrolytes. Among the huge number of 4 publications devoted to the ORR catalysis by cobalt complexes in acidic conditions, 5 from the 70's until now, only some of them noted that the intensity of the catalytic 6 7 current decreased with time along an electrolysis and mentioned the lack of stability of the catalyst. Later on, thermal treatments of the catalysts associated with the 8 electrode material have been proposed in order to make them more stable [14-16]. 9 10 Neither the improvement of the catalysts nor the result of their evolution under the thermal treatment have however been clearly established [14]. 11

The reason for the loss of efficiency of the cobalt macrocyclic complexes has never 12 been unequivocally demonstrated. Since the early beginning of the studies on N₄ 13 chelates, various hypotheses have been proposed, such as leeching of the catalyst 14 15 from the electrode, destruction of the organic ligand through its reaction with hydrogen peroxide (H₂O₂) generated at the electrode [14,17]. The loss of metal by 16 protonation of the ligand have been suspected to occur in acidic electrolytes [18]. In 17 the present study, by some experiments with various macrocyclic cobalt complexes, 18 it will be shown that demetallation is the reaction leading to the decrease of the 19 catalytic efficiency and the reason for this will be clarified. 20

21 **2. Experimental**

The cobalt porphyrin CoC₂diE and the cofacial bisporphyrin Co₂FTF₄ are gifts from Pr
Collman's group at Stanford University. CoTAA has been synthetized by refluxing
dihydrodibenzo[5,9,14,18]tetraaza[14]annulene and Co(OCOCH₃)₂ in DMF under N₂

[19]. Sulfuric and trifluoroacetic acids are commercial products of analytical grade.
 Ultra-pure water was obtained by reverse osmosis followed by ion exchange
 (Millipore). N₂ (L'Air Liquide) used for flushing the solutions contains traces of O₂ (≤ 5
 ppm).

A potentiostat EG&G-PAR (model 273) has been used for the electrochemical
studies. The working electrodes were platinum or vitreous carbon discs. All the
potentials in this publication are referred to the normal hydrogen electrode (NHE).
The UV-visible spectra were recorded using a Varian spectrophotometer (Cary 219).

9

10 **3. Results**

11 Co(II) macrocyclic complexes, with ligands such as cofacial bisporphyrins,

12 monoporphyrins, phthalocyanines, cyclams and tetraazaannulenes, have been

13 studied. These works provided valuable information about the behaviour of the cobalt

complexes towards the O₂ reduction to water or hydrogen peroxide but demonstrated

that the current of electrolysis decreases with time; the loss of efficiency of these

16 complexes must be attributed to their instability in contact with acidic media.

17 3.1 Tetrazaannulenes (TAA)

18 Some works have been devoted to Co(II)dibenzotetraaza[14]annulene (TAA)

(Scheme 1) and to related compounds.[5, 19, 20, 21] When this catalyst is adsorbed

20 on an edge plane pyrolytic graphite electrode (EPGE) in contact with an aqueous

acid solution (0.5 M H₂SO₄, $P(O_2) = 1$ atm), oxygen is reduced to hydrogen peroxide

at an onset potential of about 0.7 V [19]. However, upon a second potential scan with

the same electrode, the O₂ reduction wave is shifted towards more negative

24 potentials while simultaneously the limiting current decreases. Obviously, the

adsorbed catalyst is not really stable and this had already been noted earlier, as well 1 2 as for other catalysts. Heat treatment improves the stability but still a steady decrease of the catalytic current is observed [14]. M(II)TAA, as well as other 3 substituted TAA complexes, can be electropolymerized, leaving a dense conductive 4 film deposited on the electrode [19, 21]. The formal redox potential for Co(III)/Co(II) is 5 close to 0.65 V for a film of CoTAA. The reduction wave for O₂ is clearly linked to the 6 7 metal redox system. From 0.75 V to the potential at which is positioned the peak current for the reduction of Co(III), O₂ is reduced to H₂O₂. At more negative 8 potentials, oxygen is reduced to water through the 4-electron mechanism, which is 9 10 not observed with adsorbed CoTAA. Clearly, this can be attributed to the density of the cobalt sites in the phase deposited by electropolymerization, allowing the 11 cooperation of vicinal metal centres. This has been demonstrated by the 12 copolymerization of CoTAA and other compounds inert for ORR, such as NiTAA and 13 H₂TAA. The more negative wave (4e) decreases with the amount of CoTAA in the 14 film and disappears completely for a mass ratio of CoTAA/H₂TAA = 1/5 (unpublished 15 results). This is an illustration of the importance of the cooperation between two 16 metal centres, the density of the Co centres being too low when CoTAA is 17 copolymerized with a large amount of H₂TAA. We have also previously reported that 18 for CoTAA electropolymerized on roughened GC and on porous electrodes (carbon 19 black powder in a Nafion® film) the number of electrons involved in the ORR 20 21 catalysis is significantly larger than two, which was ascribed to cooperation between Co centres [21]. 22

Thick poly-CoTAA films can be deposited on a graphite or a glassy carbon electrode
 by electropolymerization of a saturated CoTAA solution in aqueous 2.25 M H₂SO₄, by
 cycling between 0 V and 0.95 V [19]. When such a modified electrode is transferred

to an aqueous 0.5 M H₂SO₄ solution, cyclic voltammetry shows that both the Co(II) oxidation and the Co(III) reduction peaks are present, superimposed on the important capacitive current; the redox system is chemically reversible (Figure 1). The surface concentrations of CoTAA, in the range of 1×10^{-9} mol cm⁻² to 7×10^{-9} mol cm⁻², have been calculated by integration of the current under the peaks, after deduction of the capacitive current.

7

Figure 1

The catalytic current of O₂ reduction at a rotating electrode decreases with time. 8 indicating that the catalyst is degraded. In order to investigate the reason for this loss 9 of activity, the poly-CoTAA film was cycled under a nitrogen atmosphere between 0 V 10 and 0.95 V. After 50 cycles, the loss of activity is 15 % and 45 % after 1000 cycles, 11 which demonstrates that the catalyst is degraded by potential cycling even under an 12 inert atmosphere. After immersion of the electrode in an aerated acidic aqueous 13 solution (H₂SO₄ 0.5 M) during 24 h, without polarization, the voltammogram recorded 14 under nitrogen is identical to the one of the electrode right after 15 electropolymerization. This latter observation suggests that no demetallation occurs 16 when cobalt is at the Co(III) oxidation state, the most compatible with the presence of 17 O₂. This assumption has been confirmed by cyclic voltammetry (Figure 1). After 18 19 polarization of the electrode at 0.95 V during 2h30mn (curve b), the peak current is lower than on the voltammogram recorded right after the electropolymerization (curve 20 a). But, after a rest out of the solution the voltammogram recorded under nitrogen 21 proves that all the cobalt sites are still present (curve c). However, after setting the 22 potential of the electrode at 0 V for 2h30mn, in order to bring the metal centres to the 23 Co(II) state, the intensity of the peak is much lower and this loss of activity is 24 irreversible. 25

1 The stability of the Co(III) derivatives under acidic conditions has been

unambiguously established by the following experiment. A solution of CoTMTAA(CI)₂
in dichloromethane is brought in contact with an aqueous solution of H₂SO₄ (0.5 M)
and the complex partitions between the two phases. After a long contact of these
solutions with air, during a few hours, the UV-vis spectrum remained unchanged and
no H₂TMTAA(CI)₂ appears ; the formation of the protonated macrocycle would have
been detected through its intense absorption band at 348 nm.

8 When an electrode modified by the polymerization of Co(II)TAA is immersed for a

9 long time in an acidic aqueous solution of H₂O₂ (3.10⁻³ M), the voltammogram under

nitrogen is identical to the one obtained right after the film formation.

11 These observations suggest that the catalytic sites are stable when cobalt is at the

12 Co(III) state but readily degrade in the acidic aqueous solution when the metal centre

is at the Co(II) state. Moreover, hydrogen peroxide has no deleterious effect.

14 3.2 Monoporphyrin CoC₂diE

15 Porphyrins and phthalocyanines have attracted a lot of attention because of their

similarity with heme and other molecules involved in some biological processes. It

17 has been shown that cobalt complexes are worth more than the iron ones when the

catalysis of the electrochemical reduction of oxygen is the target. Cobalt

19 monoporphyrins, for example CoC_2diE (Scheme 1), catalyze the O_2 electroreduction

20 to hydrogen peroxide in an acidic environment.

21 Both CoC₂diE and Co₂FTF₄ can be dissolved in highly acidic aqueous solutions, but

the concentration of the cofacial derivative remains low, about 10⁻⁵ M. The

23 experiments described in the present study have been performed in 6 M aqueous

solutions of CF₃COOH. The voltammograms in Figure 2 have been obtained at a

stationary and at a rotating platinum disk electrode, for a saturated solution of
 CoC₂diE obtained by dissolution under a constant flow of nitrogen, which takes about
 2h.

4

Figure 2

Two redox systems appear at about 0.6 V and 0.3 V (curve a). The former is almost 5 reversible whereas the latter shows a sharp oxidation peak indicating that the re-6 7 oxidation reaction is perturbed by a surface phenomenon. Two redox sites are present in such a molecule, the Co centre and the macrocycle itself. It is known that 8 in the presence of water, Co(II) is oxidized to Co(III) before the oxidation of the 9 porphyrin ring to its radical cation. Moreover, a reduction current is detected below 10 0.6 V at the rotating electrode (curve b), showing that a mixture of the two redox 11 species of the second redox couple are present. This suggests that in the solution 12 the metal is present as Co(III), as shown by the reduction wave at 0.3 V, the 13 porphyrin being also partially oxidized as Co(III)C₂diE^{•2+}. The UV-vis spectrum of the 14 15 solution presents a Soret peak at 405 nm and two well separated bands at 520 nm and 558 nm as well as a weak one at 645 nm, this latter band being typical of the 16 porphyrin radical cation. The presence of two well separated bands in the visible 17 region is an argument for the presence of Co(III) as the two bands are not so well 18 resolved for Co(II) solutions. That spectrum is almost identical with the spectrum of a 19 cobalt(III) octaethylporphyrin obtained by chemical oxidation. The ESR spectrum of 20 the solution obtained by dissolution of CoC₂diE, corresponding to the UV-vis 21 spectrum already described, consists in a unique line at g = 2.004 of a 27.96 G line 22 width; this is typical of the radical cation Co(III)C₂diE[•]²⁺ and consistent with the fact 23 that Co(III) is diamagnetic; the presence of Co(II) in the solution would have been 24 revealed by a 8 lines spectrum, due to its 7/2 spin. All these observations support the 25

conclusion that the result of the dissolution of CoC₂diE in 6 M CF₃COOH, under a
constant flow of N₂, is a mixture of Co(III)C₂diE ⁺ and Co(III)C₂diE^{•2+}. Traces of O₂ in
the N₂ flux oxidize the Co(II) porphyrin in this acidic medium along its slow dissolution
process. The sharp oxidation peak observed on the voltammogram at 0.3 V results
more than probably from the re-oxidation of the insoluble Co(II)C₂diE deposited
during the reduction scan.

7 The UV-vis spectrum recorded after dissolution of Co(II)C₂diE under rigorous exclusion of oxygen, in an N₂ atmosphere box, is totally different. The complete 8 dissolution lasts 12h instead of 2h for the dissolution on the bench, under a constant 9 10 flow of N₂. The UV-visible spectrum is fully identical to the spectrum of H_2C_2diE , which dissolves instantaneously in 6 M CF₃COOH. These observations suggest that 11 Co(II)C₂diE is demetallated by contact with the acidic aqueous solution, a rather slow 12 reaction, while, when the dissolution is operated on the bench under a constant flow 13 of N₂, the macrocyclic complex is oxidized to Co(III)C₂diE⁺ and Co(III)C₂diE⁻²⁺, 14

16 3.3 Cofacial bisporphyrins Co₂FTF₄

17 It has been demonstrated that cofacial bisporphyrins (FTF = face-to-face), *e.g.*

certainly because of the traces of O₂ present in the gas flow.

18 Co₂FTF₄ (Scheme 1), drive the reduction to water through the 4e⁻ mechanism, due to

19 the cooperation of the two metal centres, Co(II) being essential for O₂ binding, the

second, Co(III) or another Lewis acid, being needed for the rupture of the O-O

21 bond.[22-25]

15

The dissolution of the cofacial bisporphyrin Co_2FTF_4 in aqueous 6 M CF₃COOH, on the bench under a flow of N₂ takes about 2h. The voltammograms of the solution is presented in Figure 3. Figure 3

The cyclic voltammogram is typical of the bisporphyrin, identical to the one observed 2 in benzonitrile; the two Co(III)/Co(II) reversible redox systems are visible at about 0.5 3 4 V (curve a), the domain of stability of the mixed-valence compound Co(III)Co(II)FTF4 being about 0.34 V. As already observed for Co(II)C₂diE, the hydrodynamic 5 voltammogram (curve b) shows that Co(II) has been oxidized to Co(III), the porphyrin 6 7 moieties being partially oxidized. The dissolution of Co₂FTF₄ in aqueous 6 M CF₃COOH in an N₂ atmosphere box is a very slow process. After neutralization by 8 NaHCO₃ of the acidic solution thus obtained, the cofacial bisporphyrin is extracted by 9 10 CH₂Cl₂. Its UV-vis spectrum (Figure 4) is identical in all respects to the characteristic one of H₄FTF₄. 11

12

Figure 4

It can be concluded that under acidic conditions Co(II)₂FTF₄, as well as Co(II)C₂diE,
is oxidized to its Co(III) derivatives in the presence of traces of O₂. However, under
complete exclusion of O₂, these Co(II) porphyrins are demetallated.

16 **4. Discussion**

On view of these experimental observations, under acidic conditions, it is evident that the macrocyclic complexes are stable when the metal is Co(III) but are demetallated when it is as present Co(II). The Co³⁺ ion is a much harder Lewis acid than Co²⁺ and for that reason will bind more strongly to the nitrogen hard bases of the macrocycles.

From the works already published and from calculations, it is possible to evaluate the

22 difference of stability between the two complexes of cobalt in their redox state. This

can be evaluated from the relative values of $E^{\circ}(Co^{3+}/Co^{2+})$ and $E^{\circ}(Co^{III}L/Co^{II}L)$, the

24 formal redox potential of the complex:

1
$$Log_{10}[K(Co^{III}L)/K(Co^{II}L)] = [E^{\circ'}(Co^{3+}/Co^{2+}) - E^{\circ'}(Co^{III}L/Co^{II}L)]/0.059$$
 (1)

E°'(Co³⁺/Co²⁺) has been evaluated to be 1.92 V [26], but 1.81 V is a value proposed
by some authors [27]. From E°'(Co^{III}L/Co^{III}L) values reported in publications and
evaluated in the present work, Log₁₀[K(Co^{III}L)/K(Co^{III}L)] has been calculated and
reported in Table 1, assuming that E°'(Co³⁺/Co²⁺)] = 1.92 V.

6

Table 1

From the experimental data in Table 1 it is clear that, whatever is the nature of the
ligand, the Co(III) complexes are much more stable than those of Co(II). In the case
of CoTAA, as well as that of CoCyclam, which catalytic properties towards ORR have
also been examined [28], DFT calculations confirm the much higher stability of the
Co(III) complexes by a factor of 10²⁷ and 10¹⁷, respectively (Table 1, Supplementary
material).

13 It is not really easy to unambiguously conclude whether the reduction wave of O₂ coincides with the reduction wave of Co(III) to Co(II), as observed with polymerized 14 CoTAA, or occurs at more negative potentials, as reported in some cases [7, 29]. 15 However, in both cases, when ORR occurs the redox state of cobalt in the catalyst is 16 Co(II), which can be easily replaced by two hard acid ions such as H⁺. This also 17 18 should occur in the case of Co(II)Cyclam, which binding constant is K(Co(II)Cyclam) = 10^{14} .[30] The affinity of Cyclam for the proton is high, K(H₂Cyclam) = 10^{22} [31], the 19 consequence being that even a rather concentrated Co(II)Cyclam solution (0.01 M) 20 would be completely demetallated below pH = 4. 21

These experimental results are in complete opposition to the conclusions previously drawn from DFT calculations about the stability of metalloporphyrins and metallophthalocyanines in acidic media, stating that the metal ions are not replaced
by protons at pH = 1 [32].

Reviews on the catalysis of ORR have mentioned that the instability of the nonprecious metal catalysts in acidic media could result from the deleterious influence of
H₂O₂ or from the replacement of the metal ion by protons [14, 17]. From this work it
appears clearly that hydrogen peroxide does not have a major impact on the stability
but that Co²⁺ is easily substituted by protons.

8 **5.** Conclusion

Co³⁺, a much harder Lewis acid than Co²⁺, will bind more strongly to the nitrogen 9 hard bases of the macrocycles and remains bound under acidic conditions. However, 10 H⁺ will have a higher affinity than Co²⁺ for the hard base sites of the ligand. It is more 11 than probable that Fe²⁺, another borderline acid in the classification of Lewis acids, 12 will behave similarly to Co²⁺, and consequently, what has been observed for the 13 cobalt complexes should also be true for Fe catalysts in an acidic environment. What 14 occurs to the catalysts after an heat-treatment is not really clear but, whether they 15 have been transformed to metallic particles or to any other form, the redox state of 16 the metal compatible with the potential of the oxygen reduction reaction is the M(II) 17 redox state, which explains why these modified catalysts are also prone to 18 demetallation under acidic conditions. 19

20

1 6. Figure captions

Figure 1 Cyclic voltammetry at a graphite electrode covered by a CoTAA film
(H₂SO₄ 0.5 M, v = 200 mV.s⁻¹, under N₂). a: right after the film formation; b: after a
2h30mn polarization at 0.95 V; c: after b and 4 days stay in air ; d: after 2h30 min at
0 V.

6

Figure 2 Voltammetry of CoC₂diE (~10⁻⁵ M) in an aqueous solution of 6 M CF₃COOH, at a platinum disk electrode. a: cyclic voltammetry (u = 1 μ A, v = 100 mV.s⁻¹); b: voltammetry at a rotating electrode (u = 2.5 μ A, v = 2 mV.s⁻¹, ω = 400 rpm).

11

Figure 3 Voltammetry of Co₂FTF₄ (~10⁻⁵ M) in an aqueous solution of 6 M CF₃COOH, at a platinum disk electrode. a: cyclic voltammetry (u = 0.4 μ A, v = 100 mV.s⁻¹); b: voltammetry at a rotating electrode (u = 1 μ A, v = 2 mV.s⁻¹, ω = 400 rpm).

15

Figure 4 UV-vis spectrum of Co₂FTF₄ after extraction with CH₂Cl₂ of a solution
obtained by dissolution in an aqueous solution of 6 M CF₃COOH, under a rigorous
exclusion of oxygen.

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Figure 1 Cyclic voltammetry at a graphite electrode covered by a CoTAA film $(H_2SO_4 \ 0.5 \ M, \ v = 200 \ mV.s^{-1}, \ under \ N_2)$. a : right after the film formation ; b : after a 2h30mn polarization at 0.95 V ; c : after b and 4 days stay in air ; d : after 2h30 mn at 0 V.



Figure 2 Voltammetry of CoC₂diE (~10⁻⁵ M) in an aqueous solution of 6 M CF₃COOH, at a platinum disk electrode. a : cyclic voltammetry (u = 1 μ A, v = 100 mV.s⁻¹); b : voltammetry at a rotating electrode (u = 2.5 μ A, v = 2 mV.s⁻¹, ω = 400 rpm).



Figure 3 Voltammetry of Co₂FTF₄ (~10⁻⁵ M) in an aqueous solution of 6 M CF₃COOH, at a platinum disk electrode. a : cyclic voltammetry (u = 0.4 μ A, v = 100 mV.s⁻¹); b : voltammetry at a rotating electrode (u = 1 μ A, v = 2 mV.s⁻¹, ω = 400 rpm).



Figure 4 UV-vis spectrum of Co₂FTF₄ after extraction with CH₂Cl₂ of a solution obtained by dissolution in an aqueous solution of 6 M CF₃COOH, under a rigorous exclusion of oxygen.

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Table 1: Relative stabilities of some Co(III) and Co(II) complexes.

	Ligand ^a	E°'(Co ³⁺ L/Co ²⁺ L) /V	Log ₁₀ [K(Co ³⁺ L)/K(Co ²⁺ L)]	Log ₁₀ [K(Co ³⁺ L)/K(Co ²⁺ L)]	Ref.
			(exp.) ^b	(DFT)°	
-	OX 3	0.57	22.9		[26]
	edta ₂	0.38	26.1		[26]
	terpy ₂	0.31	27.3		[26]
	cyclam	0.40	25.8	17.1	[26]
	TAA	0.58 a	22.7	27.1	this work
	C ₂ diE	0.29 a	27.7		this work
	FTF ₄	0.55 a	23.2		this work

^a Ox, oxalate ion ; edta, ethylenediaminetetraacetate ion ; terpy, 2,2',2"-terpyridine ; cyclam, 1,4,8,11-tetraazacyclotetradecane ; TAA, (benzo)₂[14]tetraeneN₄ ; C₂diE, diethylester mesoporphyrin ; FTF₄, face-to-face bisporphyrin. ^b Calculated from the experimental values of E^o'(Co³⁺L/Co²⁺L) due to the rather poor solubility of the compound in the aqueous acid solution, the uncertainty on these redox potential is not less than \pm 0.02 V. ^c Calculated from the DFT values of E⁰(Co³⁺/Co²⁺).