

# Entrapment of anaerobic thermophilic and hyperthermophilic marine micro-organisms in a gellan/xanthan matrix

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1	Entrapment of anaerobic thermophilic and hyperthermophilic
2	marine microorganisms in a gellan/xanthan matrix
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22	

#### 26 Abstract

Aims: The aims of this study were (i) to develop a protocol for the entrapment of anaerobic
(hyper)thermophilic marine microorganisms; (ii) to test the use of the chosen polymers in a
range of physical and chemical conditions and; (iii) to validate the method with batch
cultures.

Methods and Results: The best conditions for immobilization were obtained at 80°C with 31 gellan and xanthan gums. After 5-week incubation, beads showed a good resistance to all 32 tested conditions except those simultaneously including high temperature (100 °C), low NaCl 33  $(< 0.5 \text{ mol } l^{-1})$  and extreme pH (4/8). To confirm the method efficiency, batch cultures with 34 immobilized Thermosipho sp. strain AT1272 and Thermococcus kodakarensis strain KOD1 35 showed an absence of detrimental effect on cell viability and a good growth within and 36 37 outside the beads. Conclusion: This suggests that entrapment in a gellan/xanthan matrix could be employed for the culture of anaerobic (hyper)thermophilic marine microorganisms. 38 Significance and Impact of the Study: (Hyper)termophilic marine microorganisms possess a 39 high biotechnological potential. Generally microbial cells are grown as free-cell cultures. The 40 use of immobilized cells may offer several advantages such as protection against phage 41 42 attack, high cell biomass and better production rate of desired metabolites.

#### 43 Keywords

Immobilization, Entrapment, Gellan, Xanthan, (Hyper)thermophilic Marine Microorganisms,
Anaerobiosis

46

#### 48 Introduction

Microorganism immobilization is commonly used in many fields including food, 49 pharmaceutical, agricultural, therapeutics, environmental and research applications (Cassidy 50 et al. 1996). This technology is generally used for biomass production and/or for the 51 production of various compounds such as amino acids, organic acids, antibiotics, steroids and 52 enzymes, either in batch, fed-batch or continuous cultures. Extensive applications of 53 immobilized cells have been proposed in the industry using different strategies of 54 immobilization, such as adsorption or attachment to inert surfaces, self-aggregation of cells by 55 flocculation, encapsulation in polymer gels or entrapment in different type of matrices (Rao 56 57 and Satyanarayana 2009). Cell immobilization offers several advantages over free-cell 58 cultures such as high cell biomass, enhance survival, and may increase production rate of desired metabolites (Rathore et al. 2013). Among the different types of immobilization, cell 59 entrapment in polymer matrices is commonly used for a wide variety of microorganisms that 60 do not flocculate or naturally attach to inert substrates, and because it induces a high cell 61 viability (Kanasawud et al. 1989; Rathore et al., 2013). Cell entrapment allows the diffusion 62 of small molecules that sustain the viability, activity and growth of the entrapped cells. In 63 addition, they are protected against abiotic stress and potential inhibitors present in the culture 64 65 medium, bacteriophages attacks and shear forces (D'Souza 2002; Nussinovitch 2010). Their biological stability is increased with small loss of plasmids and the physical retention of cells 66 within the bioreactor prevents wash-out of slow growing cells in case of continuous cultures 67 68 (Champagne et al. 1994; Lamboley et al. 1999). Beads containing the immobilized cells may be recovered, stored and reused. Entrapment protocols for (hyper)thermophilic 69 microorganisms have been poorly described in literature data. Only few thermophilic bacterial 70 species such as Thermus spp., Bacillus spp. and Geobacillus spp. have been entrapped in 71 72 polymer matrices (gellan, sol-gel silica, κ-carrageenan, alginate, agarose and polyacrylamide)

73 (Klingeberg et al. 1990; Norton and Lacroix 2000; Kabaivanova et al. 2005; Rao and 74 Satyanarayana 2009). To our best knowledge nobody has never developed an entrapment protocol for the culture of thermophilic and hyperthermophilic anaerobic marine 75 76 microorganisms despite their high biotechnological potential as source of novel enzymes and active compounds (Huber and Stetter 1998; Bustard et al. 2000; Schiraldi and De Rosa 2002; 77 Trincone 2011). We propose to develop a protocol for the entrapment of thermophilic and 78 79 hyperthermophilic marine microorganisms in a polymers matrix. The judicious selection of polymers and conditions for cell entrapment was here critical for ensuring beads production 80 and mechanical strength, together with the maintaining of cell viability in conditions 81 82 compatible with microorganisms growth. Gellan and xanthan polysaccharides appeared to be good candidates because of their non-toxic, heat-resistant and pH resistant gelling properties. 83 Beads size and mechanical resistance through long-term culture being of primordial 84 85 importance in immobilized cell culture, general mechanical properties of the beads was studied in order to determine beads behavior in different incubation conditions (salinity, pH, 86 temperature and sulfur concentration) mimicking different growth conditions. The objectives 87 of this study were (i) to develop a protocol for the entrapment of thermophilic and 88 hyperthermophilic anaerobic marine microorganisms, (ii) to test the mechanical stability of 89 90 the beads in different physico-chemical conditions, and (iii) to validate the method with batch cultures of immobilized marine microorganisms with Thermococuus kodakarensis strain 91 KOD1 and *Thermosipho* sp. strain AT1272 used as model organisms. 92

93 Materials and Methods

94 Microbial strains and growth conditions

*Thermosipho* sp. strain AT1272 (DSM 101094), a thermophilic strain previously isolated
from a Rainbow hydrothermal chimney sample in our laboratory (Postec *et al.* 2005), and

Thermococcus kodakarensis strain KOD1 (JCM 12380<sup>T</sup>) a hyperthermophilic strain, were 97 used as models for the immobilization trials. Thermosipho sp. AT1272 and Thermococcus 98 kodakarensis KOD1 were routinely grown under nitrogen atmosphere respectively at 60 °C 99 and 80 °C in Ravot Modified Medium (RMM, pH 6.0) (Gorlas et al. 2013) reduced by the 100 addition (1 %, v/v) of Na<sub>2</sub>S (0·2 mol l<sup>-1</sup>). Growth experiments were performed under nitrogen 101 gaz in penicillin vials. Prior to immobilization, strains were subcultured twice for 16 h in 102 routine conditions. Their concentration was adapted in order to obtain  $ca \ 3 \times 10^8$  cells ml<sup>-1</sup>, and 103 4 ml of this suspension were mixed with the different polymer solutions under anaerobic 104 conditions in order to obtain a final concentration of *ca*.  $6 \times 10^6$  cells ml<sup>-1</sup> of polymer as 105 explained above, with the exception of beads used during the mechanical stability 106 107 experiments that were sterile. In the case of subculture in liquid medium for growth comparison with cell immobilization, cells were inoculated around  $6 \times 10^6$  cells ml<sup>-1</sup>. 108

### 109 Polymers preparation and immobilization procedure

Beads were prepared with two types of polymers, gellan and xanthan gums (Sigma-Aldrich, 110 France), either alone (gellan gum at 2.5 %, w/v) or as a mixture (gellan at 2.5 % and xanthan 111 at 0.25 %, w/v). Polymer powders were suspended in 150 ml of preheated (90 °C) distilled 112 113 water and mixed for 25 s in a blender. The polymer solution was then autoclaved for 15 min at 121 °C just prior the immobilization. The immobilization procedure was adapted from 114 Cinquin et al. (2004), who developed an entrapment protocol for mesophilic bacteria. This 115 116 process is based on a two phase system composed of a polymer solution and oil under 117 agitation, this technique allows the production of beads recovered by sieving. In order to apply this technique to the entrapment of strict anaerobic marine hyperthermophiles and 118 119 thermopiles, two different polymer compositions were tested with different NaCl concentrations (0.15, 0.20, 0.27 and 0.38 mol  $1^{-1}$  final concentrations). These concentrations 120

were tested in order to obtain conditions allowing the formation of a maximum of beads with 121 an average size of 1-2 mm while minimizing the osmotic stress. All solutions were 122 deoxygenated under N<sub>2</sub> flow, autoclaved and reduced with Na<sub>2</sub>S (0.001 mol  $l^{-1}$  final 123 concentration). The polymer solution, hardening solution (Ravot modified medium, RMM), 124 salt solution composed of NaCl (0.61 to 1.64 mol  $l^{-1}$ ) and sodium citrate (0.06 mol  $l^{-1}$ ), canola 125 oil (400 ml) and cell suspensions were transferred under an anaerobic hood. Fifty ml of NaCl 126 solution were added to the polymer solution (80 °C) that was inoculated, if necessary, with 4 127 ml of cell suspension, to reach a final concentration of  $ca \ 2 \times 10^7$  cells ml<sup>-1</sup>. After inoculation, 128 the polymer solution was stirred at 250 rpm min<sup>-1</sup> into oil at 80 °C to obtain a suspension of 129 aqueous droplets in oil. This suspension was cooled for 10 min at room temperature followed 130 by 10 min incubation on ice before being soaked 30 min under agitation in RMM for beads 131 hardening. After washing, beads of the appropriate size (1-2 mm diameter) were selected by 132 133 wet sieving.

For each NaCl concentration tested during the immobilization step, beads size distribution
was measured using a laser granulometer Beckman Coulter LS<sup>TM</sup> 200 (Beckman Coulter Inc.,
Brea, USA), total volume of formed beads (1-2 mm diameter) was measured by waterdisplacement, and the general appearance of the beads was observed with a binocular
microscope SDF PLAPO 1XPF (Olympus, Tokyo, Japon).

139 Rheological tests

The viscoelastic behavior of the gellan and gellan plus xanthan gels was characterized in absence and in presence of NaCl ( $0.20 \text{ mol } 1^{-1}$  final concentration). Polymer solutions were prepared as described above, and poured in Petri dishes. After cooling, 2-mm thick 25-mm diameter cylindrical gel samples were cut and their rheological behavior was characterized using oscillatory simple shear tests performed in the linear regime, at room temperature, using a Bohlin Gemini constant stress rheometer equipped with parallel plates (diameter = 25 mm; gap = 2 mm). Waterproof abrasive paper of equivalent roughness of about 10  $\mu$ m was put on both plates in order to prevent sample slippage. These experiments were done in triplicates.

Mechanical stability of sterile beads in different incubation conditions using experimentaldesign

150

#### • Experimental design methodology

Design of experiments consist of a group of mathematical and statistical techniques that can 151 be used to organize experiments at best in order to quantify the relationship between the 152 output variables (called responses) and the input variables (called factors). They allow real 153 advantages in terms of reduced experimental effort and increased quality of information 154 (Lewis et al. 1999; Cela et al. 2009). Because of cost and run-time of experiments, this 155 156 methodology was chosen to limit the number of experiments, judiciously selected, to study the influence of four parameters (factors) on beads mechanical stability. These four factors 157 (sulfur and NaCl concentrations, pH and temperature) (Table 1) were considered as 158 potentially influential on beads mechanical stability over time (5-week incubation). The 159 variation range for each factor was determined based on a preliminary study and their effects 160 161 were evaluated by granulometry (beads size distribution)  $(Y_1)$ , polymer release  $(Y_2)$  and beads general deterioration  $(Y_3)$ . 162

163

#### • Design of experiments

As the aim of this study was a direct comparison of three or more values, a screening study was performed and an additive mathematical model was postulated. The reduced reference state model used can be written as follows:

$$\eta = \beta_0 + \beta_{1A}X_{1A} + \beta_{1B}X_{1B} + \beta_{2A}X_{2A} + \beta_{2B}X_{2B} + \beta_{2C}X_{2C} + \beta_{3A}X_{3A} + \beta_{3B}X_{3B}$$
$$+ \beta_{3C}X_{3C} + \beta_{4A}X_{4A} + \beta_{4B}X_{4B} + \beta_{4C}X_{4C} + \beta_{4D}X_{4D}$$

where  $X_{ij}=1$  when the level j of the variable i is present and  $X_{ij}=0$  for the other cases. The coefficient  $\beta_{ij}$  represents the variation of the response, replacing one level of the variable i, considered as a reference stats (arbitrarily the last level), by the level j.

In order to estimate the coefficients at best, a suitable experimental design was performed and more precisely, an asymmetrical optimal design  $3^{1}4^{2}5^{1}$  in 16 experiments (Table 2). The experiments (Addelman 1962; Fedorov and Malyutov 1972) were replicated three times to evaluate the variance of experimental error. From the experimental results for each studied responses (Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>), the estimation of the model coefficients  $\beta_{ij}$  were calculated by least squares regression. These coefficients could be graphically represented in order to show the behavior of the different levels for each variable.

#### • Experimental

Beads were produced as described before with a mixture of gellan (2.5 %, w/v) and xanthan 178 (0.25 %, w/v) and a NaCl concentration of 0.2 mol 1<sup>-1</sup>. They were incubated in different 179 conditions of pH (4.0 to 8.0), temperature (50 to 100 °C), NaCl (0.08 to 1.36 mol 1<sup>-1</sup>) and 180 sulfur concentrations (0.03 to 0.15 mol  $1^{-1}$ ) in modified SME medium. This medium is 181 commonly used for the continuous culture of hydrothermal vent microbial communities 182 183 (Postec et al. 2005). Basal modified SME medium without NaCl and sulfur was realized. NaCl was added, pH was adjusted according to the different conditions tested, and 50 ml were 184 distributed in penicillin vials. Vials were autoclaved at 121 °C for 20 min followed by the 185 addition of sterile colloidal sulfur. Twenty five grams of freshly produced sterile beads were 186 added in each vial. Incubations were realized in triplicate for each condition tested (Table 2). 187

After incubation, beads size distribution was measured using a laser granulometer Beckman Coulter LS<sup>TM</sup> 200 (Beckman Coulter Inc., Brea, USA), polymer release in the modified SME medium was quantified using a colorimetric method adapted from Dubois *et al.* (1956). Two ml of pure sulfuric acid and 0.5 ml of phenol 5 % (w/v) were added to 0.5 ml of sample, incubated 15 min at 95 °C and 15 min at room temperature in dark condition before measurement of absorbance at 492 nm. The general appearance of the beads was observed with a binocular microscope.

#### 195 Growth of immobilized microorganisms in batch experiments

196 Batch cultures were performed with 3 grams of beads containing freshly immobilized cells, Thermococcus kodakarensis KOD1 or Thermosipho sp. AT1272, in 10 ml of RMM placed in 197 sealed penicillin vials under nitrogen atmosphere. The vials were incubated at different 198 199 temperatures (60, 65, 70 or 80 °C). Cell growth in beads and liquid medium was measured in triplicates. Cell counting by regular methods being impossible in polymer beads, a protocol 200 201 based on the measure of cellular ATP was applied in beads and culture medium. The correlations,  $r^2 = 0.982$  for *T. kodakarensis* KOD1 and  $r^2 = 0.998$  for *Thermosipho* sp. 202 AT1272, between cell counting using a Thoma cell counting chamber and ATP values, were 203 determined at different dilutions  $(10^{0}, 10^{-1}, 10^{-2}, 10^{-3})$  according to Gaboyer *et al.* (2014). The 204 appropriate correlation factor was applied to each sample tested, in order to evaluate the 205 number of cell ml<sup>-1</sup> for each strain. The ATP content of bacterial suspensions in the liquid 206 culture mediums was determined with a Kikkoman Lumitester C-110 (Isogen Life Science) 207 using the BacTiterGlo Microbial Cell Viability assay (Promega) according to the 208 manufacturer's instructions: 100  $\mu$ l of culture and 100  $\mu$ l of BacTiter-Glo buffer were used. 209 Internal calibration was performed with 10  $\mu$ l of a 100 nmol l<sup>-1</sup> ATP solution and maximal 210 fluorescence emissions values were considered. In the case of beads, these ones (ca 100 mg) 211

were placed in a pre-weighted sterile hemolysis tube (Gosselin), they were washed thrice with 100  $\mu$ l of sterile degazed saline solution. For ATP measurement, 100  $\mu$ l of sterile distilled water were added to the beads, which were vortexed for 10 s before adding 100  $\mu$ l of BacTiter-Glo buffer. As for liquid medium, internal calibration was performed with 10  $\mu$ l of a 100 nmol l<sup>-1</sup> ATP solution and maximal fluorescence emissions values were considered. All manipulations were done in triplicates under sterile conditions.

#### 218 Results

219 Influence of NaCl concentration and polymer matrix on beads formation

220 The immobilization of thermophilic and hyperthermophilic marine microorganisms

implicated the use of heat-stable polymers together with NaCl in order to preserve marine

cells from osmotic stress. The influence of NaCl concentration and the use of gellan with or

223 without xanthan gum were tested for the production of the largest volume of beads with the

right size (1-2 mm) and morphology (round), compatible with their use in batch or continuous

cultures. The increase in NaCl concentration within the gel  $(0.15, 0.20, 0.27 \text{ and } 0.38 \text{ mol } \text{l}^{-1})$ 

during the emulsion step, dramatically perturbed beads formation, with or without addition of

227 xanthan. Addition of NaCl to the gellan solution induced a decrease in the total volume of

228 beads of 1-2 mm (from  $93 \pm 1.4$  ml at 0.15 mol  $l^{-1}$  NaCl to 11 ml at 0.38 mol  $l^{-1}$ ), and

profoundly modified their surface. This one appeared much rougher at  $0.38 \text{ mol } l^{-1} \text{ NaCl}$ 

230 compare to  $0.15 \text{ mol } l^{-1}$ . Addition of xanthan gum induced a higher volume of produced beads

than gellan alone (113  $\pm$  13 ml vs 74  $\pm$  12 ml at 0.2 mol l<sup>-1</sup> NaCl), and improve their

232 morphology (Fig. 1). Nor the addition of NaCl, nor the presence of xanthan significantly

modified beads diameter (average diameter of  $1095 \pm 365 \ \mu m$ ).

234 Influence of NaCl concentration on gels viscoelastic behavior

Rheological tests showed an increase in the storage modulus (G') in parallel with the increase 235 in NaCl concentration (Fig. 2). Indeed, the storage modulus value stepped from  $4 \times 10^3$  Pa 236 without NaCl, up to  $2 \times 10^5$  Pa with 0.2 mol l<sup>-1</sup> NaCl, with or without xanthan. As expected, 237 an increase in NaCl concentration induced a stiffening of the gel, which suggests a decrease 238 of the average mesh size of the gel network induced by NaCl. At least if the gel can be 239 considered as homogeneous. At last, it should be pointed out that the influence of xanthan on 240 the viscoelastic properties of the gellan/xanthan gels studied in this work is quite weak, even 241 if it is slightly more marked for the elastic properties (G') in the presence of NaCl. 242

243 Mechanical stability of beads

Analyses of the experimentation results were performed with the NEMRODW software (Mathieu *et al.*, 2009), and coefficients were estimated for each response. To facilitate the interpretation, coefficient values were plotted in order to visualize the behavior of each level of the studied factors.

248

• Response Y<sub>1</sub>: granulometry

249 For granulometry results, the model can be written as follows:

$$\begin{split} Y_1 &= 639 \cdot 35 - 3 \cdot 97X_{1A} + 80 \cdot 14X_{1B} + 28 \cdot 63X_{2A} - 97 \cdot 28X_{2B} + 78 \cdot 45X_{2C} - 111 \\ &\quad \cdot 44X_{3A} + 89 \cdot 84X_{3B} + 98 \cdot 23X_{3C} + 496 \cdot 13X_{4A} + 423 \cdot 02X_{4B} + 358 \\ &\quad \cdot 82X_{4C} + 319 \cdot 93X_{4D} \end{split}$$

Before incubation, the average diameter of beads was of  $1095 \pm 365 \ \mu$ m. After 5-week incubation in different conditions, their average diameters varied from 796 ± 365  $\mu$ m to 1156 ± 501  $\mu$ m, with the exception of the condition n°14 (T° 100 °C, pH 4·0, 0·50 mol l<sup>-1</sup> NaCl and 0·03 mol l<sup>-1</sup> sulfur), which dramatically reduced beads diameter down to 297 ± 273  $\mu$ m. From the effect plot (Fig. 3), it can be observed that temperature very strongly influenced beads diameter. When the temperature increased from 50 °C to 100 °C, the average diameter of beads decreased down to 496  $\mu$ m (minus 45 %). In a lesser extent, NaCl concentrations and pH also significantly influenced bead diameters with a decrease of diameters at extreme pH (especially pH 4·0) and low NaCl concentrations (0·50 mol 1<sup>-1</sup>). Sulfur concentrations induced a slight but significant impact by reducing beads diameters at 0·03 and 0·15 mol 1<sup>-1</sup>.

260

Response Y<sub>2</sub>: polymer released

261 The regression coefficients of the model for polymer released are:

$$Y_{2} = \mathbf{0} \cdot 7\mathbf{1} - \mathbf{0} \cdot \mathbf{14}X_{1A} - \mathbf{0} \cdot 25X_{1B} + \mathbf{0} \cdot \mathbf{09}X_{2A} + \mathbf{0} \cdot \mathbf{12}X_{2B} + \mathbf{0} \cdot \mathbf{05}X_{2C} - \mathbf{0} \cdot \mathbf{13}X_{3A}$$
$$- \mathbf{0} \cdot \mathbf{19}X_{3B} - \mathbf{0} \cdot \mathbf{03}X_{3C} - \mathbf{0} \cdot \mathbf{49}X_{4A} - \mathbf{0} \cdot \mathbf{48}X_{4B} - \mathbf{0} \cdot \mathbf{45}X_{4C} - \mathbf{0} \cdot \mathbf{40}X_{4D}$$

From the effect plot (Fig. 4), it can be observed that temperature strongly influenced polymers 262 release after 5-week incubation. When temperature increased from 90 °C to 100 °C, the 263 average polymer release increased from  $0.044 \pm 0.01$  g to  $0.104 \pm 0.04$  g per vial, which is 264 equivalent to 0.18 % and 0.52 % of beads initial masses. We can also note a slight but 265 significant effect of pH and sulfur, with an increase in polymer release at extreme pH and at 266 0.15 mol l<sup>-1</sup> of sulfur. NaCl concentrations had no significant impact on polymer release. It 267 has to be noted that the maximal amount of polymer release reached 0.11 g, which 268 represented less than 1 % of polymer release after 5-week incubation. 269

270

• Response Y<sub>3</sub>: visual aspect

Different numbers were assigned to beads, depending on their visual aspect. Fresh non
degraded beads (round and smooth) were noted 1, whereas the most degraded beads were
noted 5 (Fig. 5). Beads noted up to 4 harbored a shape compatible with immobilized cell

culture, which was not the case with beads noted 5 that were highly degraded. The regressioncoefficients of the model are:

$$Y_{3} = 3 \cdot 61 - 0 \cdot 01X_{1A} + 0 \cdot 62X_{1B} + 1 \cdot 24X_{2A} + 0 \cdot 92X_{2B} - 0 \cdot 48X_{2C} + 0 \cdot 27X_{3A}$$
$$- 0 \cdot 10X_{3B} + -0 \cdot 35X_{3C} - 1 \cdot 82X_{4A} - 2 \cdot 16X_{4B} - 1 \cdot 23X_{4C} 1 \cdot 54X_{4D}$$

After 5-week incubation, once again, temperature significantly affected beads morphology. This was especially true when a high temperature was associated with a low NaCl concentration, with a highly significant effect with 0.08 and 0.50 mol  $1^{-1}$  of NaCl. An association of high temperature (100 °C), low NaCl concentration ( $\leq 0.50$  mol  $1^{-1}$ ) and extremes pH (4.0 and 8.0) induced a pronounced deterioration of the beads.

### 281 Immobilized cell growth in batch experiments

In order to validate this new entrapment protocol, a thermophilic bacteria *Thermosipho* sp. 282 AT1272 and a hyperthermophilic archaea T. kodakarensis KOD1 were used as models. The 283 two strains were immobilized in anaerobiosis. Their growth was monitored, both in the liquid 284 medium and within the beads after 24 h and 48 h of incubation at 60, 65, 70 or 80 °C in RMM 285 medium. Preliminary experimentations allowed associating ATP concentrations to cell 286 concentrations, for each strain. Consequently, it was possible to estimate cell concentrations 287 in beads and liquid medium along the incubation period. Just after immobilization, the amount 288 of cells within the beads was estimated at  $2.4 \times 10^5 \pm 8.6 \times 10^4$  cells g<sup>-1</sup> for *Thermosipho* sp. 289 AT1272 and  $2.3 \times 10^6 \pm 1.3 \times 10^5$  for *T. kodakarensis* KOD1 (Fig. 6). This represents a 290 percentage of viability of 2.7 % for *Thermosipho* sp. AT1272 inoculated at  $9.1 \times 10^6 \pm 1.0 \times$ 291  $10^6$  cells ml<sup>-1</sup> in the polymer solution, and of 54 % for *T. kodakenrensis* KOD1 inoculated at 292  $4.3 \times 10^6 \pm 9.7 \times 10^4$  cells ml<sup>-1</sup>. After 24 h of incubation at 65°C, *Thermosipho* sp. AT1272 293 concentrations reached their maximum with  $2.9 \times 10^7 \pm 1.9 \times 10^7$  cells g<sup>-1</sup> of beads, and  $6.1 \times 10^{-1}$ 294

295 $10^8 \pm 5.7 \times 10^7$  cells ml<sup>-1</sup> in the liquid medium (Fig. 6). In the case of *T. kodakarensis* KOD1,296the highest cell concentrations were observed at 70°C after 24 h incubation, with  $4.8 \times 10^7 \pm$ 297 $1.3 \times 10^7$  cells g<sup>-1</sup> of beads and  $3.3 \times 10^8 \pm 2.4 \times 10^7$  cells ml<sup>-1</sup> in liquid medium. In comparison,298*Thermosipho* sp. AT1272 reached  $2.4 \times 10^8 \pm 1.1 \times 10^7$  cells ml<sup>-1</sup> in free-cell culture, whereas *T. kodakarensis* KOD1 reached  $1.4 \times 10^8 \pm 9.8 \times 10^6$  cells ml<sup>-1</sup> in the same incubation conditions.

300

#### 301 Discussion

The objective of this work was to develop a new protocol for the entrapment of thermophilic 302 and hyperthermophilic marine microorganisms in a polymer matrix. The judicious selection 303 304 of methods and polymers was critical to ensure the highest viability of entrapped cells, together with the highest mechanical stability of beads at high temperatures (Rathore et al. 305 2013). The double-phase dispersion process previously described for the entrapment of 306 mesophilic microorganisms with a mixture of gellan and xanthan (Cinquin et al. 2004), was 307 adapted to high temperature and saline conditions. Gellan is an anionic exopolysaccharide 308 produced by Sphyngomonas elodea, its commercial form is a low acyl, linear homopolymer. 309 It forms heat-stable gels (up to 90 °C) whose conformation and structure, depend on gellan 310 concentration, temperature, ionic strength, and type (monovalent or divalent) of stabilizing 311 cations in the aqueous solution. The commercial gellan powder is usually dissolved in 312 preheated distilled water at 90°C. The polymer solution is then autoclaved and maintained at 313 high temperature. When the temperature decreases, the gelation of the polymer solution 314 occurs by aggregation of the single-stranded helices in presence of monovalent (Na<sup>+</sup> and K<sup>+</sup>) 315 and divalent ( $Ca^{2+}$  and  $Mg^{2+}$ ) cations. Aggregation stabilizes at higher temperature than their 316 melting point, which induces thermal hysteresis between gelation and melting (Giavasis et al. 317 2000; Morris et al. 2012). These properties, together with its low toxicity and resistance to 318

enzyme hydrolysis, explain that gellan is used in many applications, including cell 319 320 immobilization (Giavasis et al. 2000). Xanthan is a polysaccharide produced by Xanthomonas *campestris*. It is a non-linear anionic and non-gelling polymer, that resists to high 321 322 temperatures and acidic pH (Giavasis et al. 2000). Its interactions with gellan, result in different type of textures with different mechanical properties (hardness, brittleness, 323 elasticity) (Rodriguez-Hernandez and Tecante 1999). Xanthan is known to considerably 324 reduce the syneresis properties of gellan gel, and to increase its viscoelasticity, which is 325 particularly relevant for microorganism immobilization (Rodriguez-Hernandez and Tecante 326 1999; Giavasis et al. 2000). 327

328 In a first step, the effects of NaCl and xanthan, in gellan beads formation were tested. 329 Addition of increasing concentrations of NaCl during the emulsion step at high temperature (80 °C) strongly decreased the total volume of produced beads, which became highly 330 deformed with rough surfaces for NaCl concentrations above  $0.27 \text{ mol } l^{-1}$ . This is not 331 surprising knowing the high reactivity of gellan to cations (monovalent Na<sup>+</sup> in that case), that 332 increase its melting temperature and induce stiffer gel formation (Morris et al. 2012). 333 Addition of xanthan allowed the production of greater volumes of beads, with round shapes 334 and regular surfaces, up to a concentration of  $0.27 \text{ mol } l^{-1}$  NaCl. Beads diameters were not 335 impacted by xanthan, whatever the concentration of NaCl. This is not surprising given that 336 337 beads size is rather linked to the emulsion speed. The rheological study demonstrated that NaCl increased the elastic modulus and, therefore the stiffness properties of the gellan gel. 338 Contrary to the observations of Rodriguez-Hernandez and Tecante (1999), addition of 339 340 xanthan did not increase G", suggesting a lower impact on the gel viscose behavior. This is probably due to the lower proportion of xanthan (10 %, w/v vs 20 %, w/v), together with the 341 higher concentration of gellan (2.5 %, w/v vs 0.5 %, w/v), used in the present study. 342 Rodriguez-Hernandez and Tecante (1999) showed that a mixture of gellan and xanthan 343

resulted in a heterogenic phase-separated gel with no interaction between gellan and xanthan polymers. They also showed that addition of xanthan reduced gel syneresis. This effect is particularly interesting for the viability of entrapped cells. A polymer matrix composed of gellan and xanthan, with a concentration of 0.20 mol  $1^{-1}$  NaCl, was then chosen for further experimentations. Indeed, it allowed the formation of a high volume of beads with NaCl concentration compatible with marine microorganism viability.

In a second step, the mechanical stability of the beads was tested in incubation conditions 350 351 compatible with marine thermophiles /hyperthermophiles culture. Beads mechanical stability is one of the major parameter allowing the successful use of beads in different types of culture 352 and fermentation procedures. In that case, the use of a marine culture medium was an 353 354 advantage due to its high ionic strength, allowing a good stability of the gel, even at high 355 temperatures. Indeed, Norton and Lacroix (2000) showed that bacterial immobilization in gellan gum for incubation at 80°C was not possible, because of the gel weakness and its low 356 resistance to stress in dairy fluids. This was not the case in the present study, because of the 357 high ionic strength of the marine culture medium. According to our experimental design, 358 359 increasing temperature was the major factor affecting beads stability in terms of granulometry, polymer release and general aspect of the beads. Extreme pH slightly increased 360 polymer release and decreased beads diameter, whereas low NaCl concentrations decreased 361 362 beads diameter. These results are in accordance with the known properties of gellan/xanthan gels. Globally, the beads resisted very well to 5-week incubation in the sixteen tested 363 conditions. The modified SME medium allowed a good mechanical stability of the beads up 364 to 90 °C, especially with NaCl concentrations above  $0.50 \text{ mol } 1^{-1}$ , whatever the pH and the 365 sulfur concentrations. However, conditions simultaneously implicating a temperature of 100 366  $^{\circ}$ C, NaCl concentration below 0.50 mol l<sup>-1</sup> and extreme pH should be avoided. This once 367 again, is in accordance with literature data showing that the strength of gellan gels increases 368

in a pH range of 4.0 to 7.0, gellan being stable between pH 2.0 to 10.0, whereas xanthan
possesses a smaller range of pH stability of 4.0 to 8.0 (Giavasis *et al.* 2000).

371 In a third step, cell survival rate after immobilization, and cell growth capacity within and outside the beads were tested in batch experiments. Cell viability of entrapped cells is usually 372 assessed after beads disruption and cell counting on agar plates (Sun and Griffiths 2000; 373 Cinquin et al. 2004). However, in that case, the stiffness of the beads did not allow their 374 disruption with physical and mechanical methods, at least in conditions compatible with cell 375 survival. Moreover, marine microorganisms do not always grow on agar plates. Consequently, 376 we estimated cell survival rate, cell concentration, and growth by analyzing their ATP 377 content. Immediately after immobilization, cell survival rate was of 2.7 % for *Thermosipho* 378 379 sp. AT1272 and 54 % for T. kodakarensis KOD1. These results are in accordance with literature data showing survival rates between 1 and 40% for mesophilic bacteria (Cinquin et 380 al., 2006). Thermosipho sp. AT1272 reached concentrations of  $6.1 \times 10^8 \pm 5.7 \times 10^7$  cells ml<sup>-1</sup> 381 in the liquid medium, and  $2.9 \times 10^7 \pm 1.9 \times 10^7$  cells g<sup>-1</sup> in beads after 24 h incubation at 382 65°C, compared to  $2.5 \times 10^8 \pm 1.1 \times 10^7$  cells ml<sup>-1</sup> for the free-cell cultures in the same 383 conditions. *T. kodakarensis* KOD1 reached  $3.3 \times 10^8 \pm 2.4 \times 10^7$  cells ml<sup>-1</sup> and  $4.8 \times 10^7 \pm 10^{-1}$ 384  $1.3 \times 10^7$  cells g<sup>-1</sup>, respectively in the liquid medium and in beads after 24 h incubation at 385 70°C, compared to  $1.4 \times 10^8 \pm 9.8 \times 10^6$  cells ml<sup>-1</sup> in free-cell cultures. The high percentage of 386 the survival rates, together with the high concentrations of both strains in beads and liquid 387 medium, showed that entrapment and culture of immobilized anaerobic (hyper)thermophilic 388 marine strains is possible at high temperature. 389

We successfully developed for the first time a protocol dedicated to the entrapment of anaerobic thermophilic and hyperthermophilic marine strains. We showed that despite difficulties associated with the work at high temperatures, in strict anaerobic and saline

conditions, it was possible to use a polymers matrix made of gellan and xanthan to 393 immobilize and grow thermophilic and hyperthermophilic strains. Moreover, the beads 394 showed a very good mechanical resistance in a large panel of conditions compatible with 395 growth conditions of numerous marine thermophiles/hyperthermophiles microorganisms. Cell 396 entrapment is a useful technology that can be applied in a multiplicity of ways and may help 397 to solve certain problem associated with culture of marine thermophiles/hyperthermophiles 398 such as low biomass and low productivity in a context of metabolite or biomass production, or 399 to the culture of slow growing strains in a context of community cultures. Indeed, this 400 technology together with new media design could be used for the continuous culture of pure 401 402 strains and/or microbial consortia of (hyper)thermophilic marine strains with biotechnological applications such as heat-stable enzyme production (amylases, glycosidases, lipases, 403 xylanases etc..) or heavy metals and pollutants detoxification. 404

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#### 411 Conflict of Interest

412 Authors declare no conflict of interest.

413

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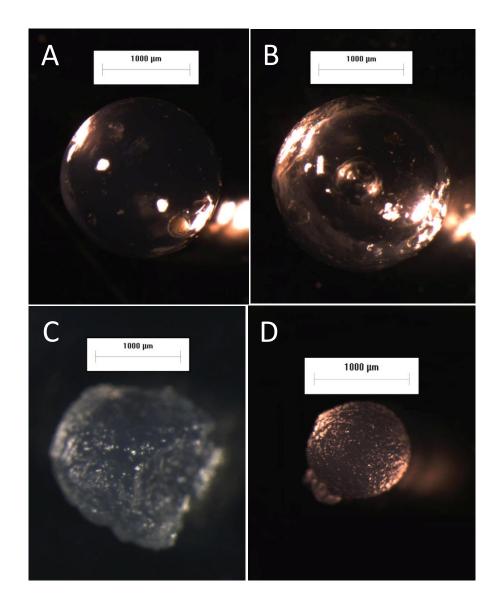
Factors	Variables	Leve	els
		Coded values	Real values
Sulfur	X <sub>1</sub>	А	0·03 mol l <sup>-1</sup>
concentration		В	0·09 mol l⁻¹
		С	0.15 mol l <sup>-1</sup>
NaCl	X <sub>2</sub>	А	0·08 mol l⁻¹
concentration		В	0·50 mol l⁻¹
		С	0·85 mol l⁻¹
		D	1·36 mol l⁻¹
рН	X <sub>3</sub>	А	4.0
		В	5.4
		С	6.8
		D	8.0
Temperature	X <sub>4</sub>	А	50°C
		В	65°C
		С	80°C
		D	90°C
		Е	100°C

## **Table 1** Description of factors and variable levels

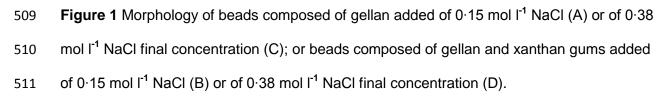
## **Table 2** Description of tested factors in each performed conditions

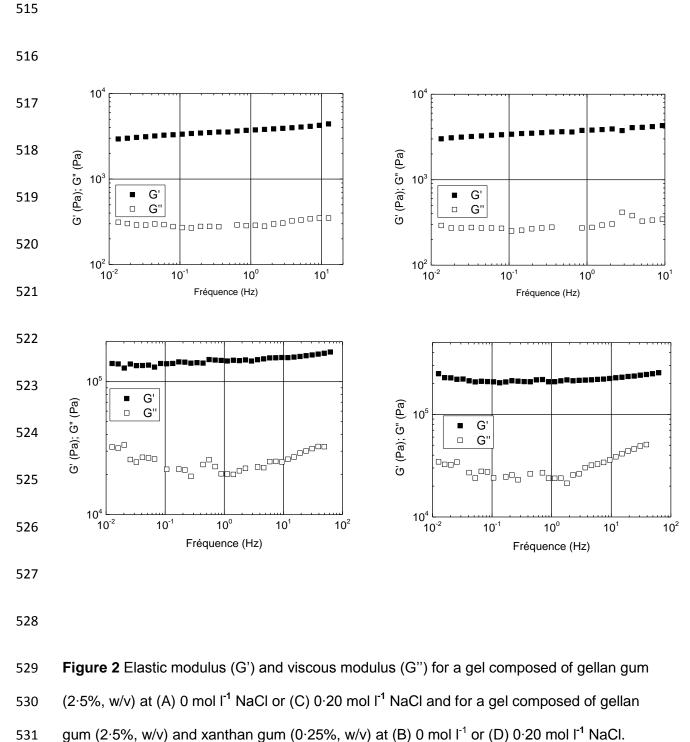
Experiment	Sulfur (mol l <sup>-1</sup> )	NaCl (mol l <sup>-1</sup> )	рН	Temperature (°C)
1	0.03	0.08	4.0	50
2	0.03	0.20	5.4	65
3	0.03	0.82	6.8	80
4	0.03	1.36	8.0	90
5	0.09	0.20	6.8	90
6	0.09	0.82	8.0	100
7	0.12	0.08	6.8	100
8	0.15	0.20	8.0	50
9	0.15	0.82	4.0	65
10	0.15	1.36	4.0	80
11	0.15	0.08	5.4	90
12	0.09	0.08	8.0	65
13	0.09	1.36	5.4	100
14	0.03	0.20	4.0	100
15	0.03	1.36	6.8	65
16	0.03	0.08	8.0	80

502 \*Each condition was performed in triplicate



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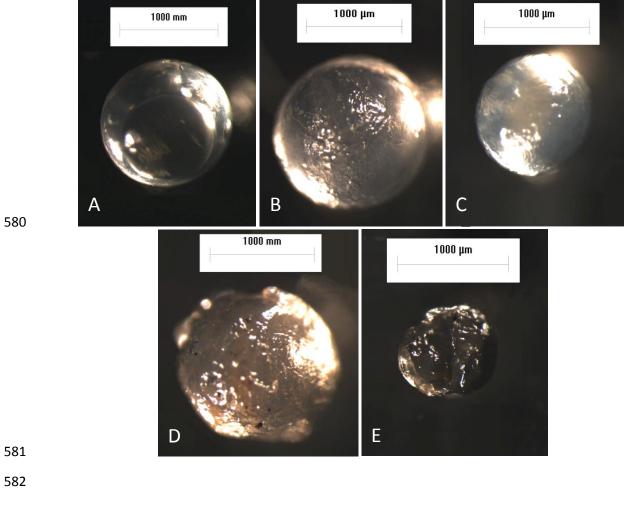


( ) , **5** ( ) , () ()

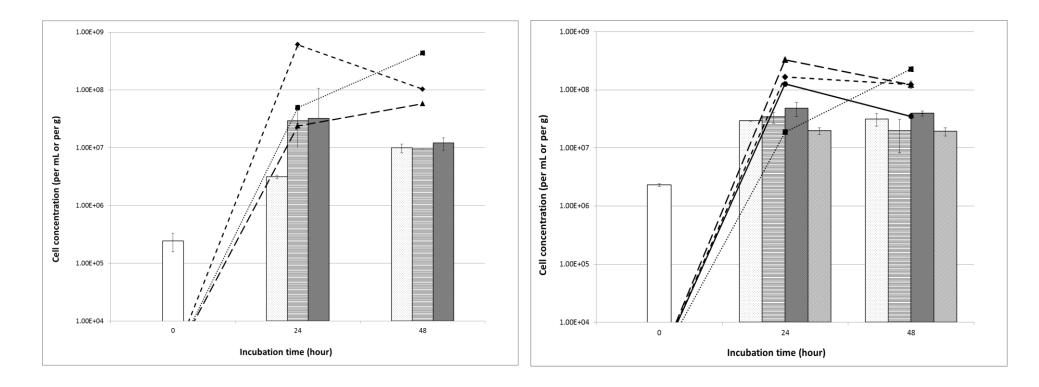
Results are from one experiment of at least three performed. The margin of error was of 5%.

536		
537		
538		
539	Sulfur Conc. (mol <sup>-1</sup> l)	0.03
540	NaCl Conc.	0·09 0·15
541	(mol <sup>-1</sup> l)	0·08 0·50
542	рН	0.85
543		4·0 5·4 6·8
544	Temperature	8·0
545		65 80
546		90 100
547		
548	Figure 3 Response Y1 granulometry, (	) effect plot of the coefficients. The experiments
549	were replicated three times to evaluate	the variance of experimental error.
550		
551		
552		
553		
554		

556	
557	
558	
559	
560	Sulfur Conc. (mol $1^{-1}$ ) $0.03$
561	0.09 0.15
562	NaCl Conc. (mol $1^{-1}$ ) $0.08$
563	0.50 0.85
564	pH
565	4.0
566	5·4 6·8 8·0
567	Temperature
568	
569	80 90 100
570	
571	Figure 4 Response Y2 Polymer release, ( $\square$ ) effect plot of the coefficients. The experiments
572	were replicated three times to evaluate the variance of experimental error.
573	
574	



- **Figure 5** Response Y3: visual aspect of beads ranging from 1 (A) to 5 (E).



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Figure 6 Cell growth at 60, 65, 70 or 80 °C in Ravot medium measured by ATPmetry for *Thermosipho* sp. AT1272 (A), and *Thermoccocus kodakarensis* KOD1 (B). In beads ( $\Box$ ) just after immobilization; ( $\Box$ ) at 60°C; ( $\blacksquare$ ) at 65°C; ( $\blacksquare$ ) at 70°C; and ( $\blacksquare$ ) at 80°C. In supernantants (--- $\blacksquare$ --) at 60°C; ( $-\clubsuit$ --) at 65°C; ( $-\clubsuit$ --) at 70°C; and ( $-\clubsuit$ --) at 80°C.