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Citizen Participation in Monitoring Phytoplankton Seawater Discolorations

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1 1. Introduction

2 Phytoplankton blooms in marine ecosystems correspond to high concentrations of eukaryotic single-3 celled (protist) photosynthetic organisms. Single species of typical bloom-forming microalgal groups such as 4 dinoflagellates or diatoms, react relatively rapidly to environmental changes (variation of nutrient concentration 5 ratios, water mixing, etc.) due to their high duplication rates, physiological plasticity and life strategy adaptations 6 (Smetacek 2002). Under favorable environmental conditions which allow cellular duplication, blooms can be 7 observed, generally with high biomass production (Cloern 1996). The remineralization of this high biomass by 8 bacteria can cause anoxia/hypoxia phenomena, leading to mass mortality of the marine fauna inhabiting the zone 9 where the bloom occurred. Mass mortality of marine fauna can hit wild populations or farmed stocks 10 (Hallegraeff 2000). If anoxia induced by high biomass-production blooms occurs in aquaculture exploitations, 11 the linked economic activities are strongly affected, with large-scale losses of live fish or shellfish destined for 12 human commercialization and consumption (Zingone and Enevoldsen 2000). Massive biomass accumulation can 13 also cause surface seawater discoloration (i.e. green, red, brownish or whitish colors) or foam production, thus 14 altering the appearance and aesthetics of coastal waters. These impressive and sometimes eye-catching natural 15 events can hinder tourism activities (i.e. water sports, leisure, beaches, hotels, restaurants) whose economic 16 benefits depend on the good aesthetics of the water in the coastal area (Zingone and Enevoldsen 2000). Tourists 17 are reluctant to visit areas where discolorations are more frequent and intense, hence affecting the local 18 economy. Some blooming species can also metabolize toxins which are directly harmful when released outside 19 the cell (hemolytic, ichtyotoxic, cytotoxic effects) to marine fauna or indirectly for humans who can suffer from 20 Diarrheic (DSP), Paralytic (PSP), Neurotoxic (NSP), Amnesic (ASP), and Azaspiracid (AZP) Shellfish 21 Poisoning as well and Ciguatera Fish Poisoning (CFP) through the consumption of seafood that has bio-22 accumulated toxic substances. Finally, skin irritations and respiratory problems have been reported in humans 23 who have gotten into contact with aerosols developed after blooms of toxigenic species. All phenomena 24 connected to phytoplankton developments that are detrimental to the ecosystem and/or human health and/or 25 economic activities are classified and known as Harmful Algal Blooms (HABs) (Hallegraeff 2000, Zingone and 26 Enevoldsen 2000).

Countries in possession of fishery and aquaculture sectors are particularly aware of HABs, and in
 particular of the toxigenic potential of some phytoplankton species. They therefore implement monitoring
 activities for toxic phytoplankton species in zones inhabited by natural and cultivated shellfish populations.

30 Despite the problems that phytoplankton discolorations may cause to tourism and wildlife, phytoplankton 31 monitoring systems are not designed for this kind of HAB phenomenon. This is for example the case in France 32 of the REPHY (REseau de surveillance et d'observation du PHYtoplankton et des PHYcotoxines) 33 (http://envlit.ifremer.fr/surveillance/phytoplancton_phycotoxines/presentation) which, since its launch in 1984, is 34 designed to monitor toxic species and not water discolorations. Seawater discolorations can affect relatively 35 small and patchy areas, or can extend across very large (hundreds of kilometers) areas, rendering them visible by 36 satellite (Cloern 1996). These phenomena occur in different regions of the World Ocean, both in offshore areas 37 (i.e. coccolithophore blooms), and, more frequently, in coastal areas, mainly in semi-confined zones (harbours, 38 estuaries (Hallegraeff 2000, Zingone and Enevoldsen 2000). They can develop rapidly, locally and die out 39 relatively quickly (within a few days) (Cloern 1996). The ephemeral nature of seawater discoloration phenomena 40 hinders the experimental design of their monitoring. Local phytoplankton monitoring systems are based on fixed 41 sampling stations, with surveys scheduled at regular intervals. This static organization of monitoring systems 42 (with stations often located near shellfish farms to monitor toxic species for sanitary reasons) can cause seawater 43 discolorations to be overlooked. These can occur either between scheduled sample collections (which generally 44 take place every fortnight) or in sites or during periods not usually sampled. Seawater discolorations are often 45 reported in a sporadic and random way, based on personal observations of individuals (scientists or otherwise) 46 aware of the environmental issues associated to such an event.

47 Citizen observations can potentially provide complementary information to ongoing sanitary monitoring 48 programs of phytoplankton or field campaigns (Castilla et al. 2015). They contribute towards a better grasp of 49 both the spatial and temporal scales at which water discolorations occur in coastal waters, particularly during the 50 summer. This is the time of year when these phenomena are most likely to develop, which corresponds to the 51 period when there are more people present on the coast. Citizen science is a nexus between science and society, 52 being both a new opportunity for scientists to acquire new data and for the public to interact with science (Jarvis 53 et al. 2015; Martin et al., 2016). Although the citizen science movement is well-established, (Miller-Rushing et 54 al. 2012), particularly in terrestrial monitoring programs, the engagement of non-professional actors in scientific 55 investigations has dramatically increased in recent years, especially in environmental sciences (ornithology, 56 paleontology, marine and terrestrial biodiversity) (e.g. Bodilis et al. 2014) that all require large amounts of data 57 from heterogenic sources (Kelling et al. 2009). Citizen science have been lauded for: i) the education of 58 voluntary participants to environmental issues, ii) providing low-cost data useful for sustainable management 59 decisions (Jarvis et al., 2015), iii) empowering citizens to participate more actively in local conservation and management decisions (Martin et al., 2016), and iv) engaging stakeholders in conservation planning (Jansujwiczet al. 2013).

62 Marine citizen science monitoring programs are increasingly common, with the public now being able 63 to get involved in the detection and identification of: a) marine macrofauna easily observed from the sea surface 64 (i.e. marine mammals, sharks, jellyfish) or b) marine organisms, which can be observed by 'citizen' SCUBA 65 divers (i.e. Goffredo et al. 2010; Holt et al. 2013). However, the use of a citizen science approach for studying 66 plankton is not frequently chosen as a tool, compared to the number of classical sanitary monitoring programs 67 for plankton existing worldwide. Nevertheless some examples of the use of a citizen science approach to study 68 phytoplankton exist. Volunteer HAB monitoring programs were launched along the west coast of United States 69 in the early 1990's (Anderson et al. 2001) and more recently along Canada's west coast (McIntyre et al. 2013) in 70 response to the onset of human poisoning syndrome (ASP and DSP) events. In 2001, the Phytoplankton 71 Monitoring Network (PMN) was established by the National Oceanographic and Atmospheric Administration of 72 the United States department of Commerce (NOAA), initially as part of South Carolina's Pfiesteria Harmful 73 Algal Bloom (HAB) surveillance program. The scientific objectives of all these programs concern the 74 distribution, phenology and managing of toxigenic species. As for water discolorations, structured management 75 systems implementing a citizen science approach are not common. An example exists in northern Europe, but it 76 is mainly focused on the identification of marine cyanobacteria blooms (e.g. Kotovirta et al. 2014) and not on 77 eukaryotic microalgae. Other citizen-monitoring programs, whose main goal is the detection of changes in sea 78 colour, have been adopted (i.e. the Citclops project (http://www.citclops.eu), or Plymouth University's Secchi 79 App – (ref http://www1.plymouth.ac.uk/marine/secchidisk/Pages/default.aspx). Indeed, people directly (beach 80 activity owners, aquaculture fishermen, scientists) or indirectly (environmentally-aware citizens, non-profit 81 organizations) concerned by water discoloration phenomena point out the absence of a clearly identified 82 interlocutor (institutional or non-government organization) who could receive observations and process the 83 biological and ecological information in order to better manage these phenomena. This shows the need for a 84 structured management system for water discoloration events, coupled with better awareness-raising about the 85 causes and the effects of water discolorations on humans and the environment.

In 2013, a citizen monitoring program focused on water discoloration observations was launched across
Brittany (France) waters in parallel to the ongoing phytoplankton and biotoxin monitoring network REPHY.
This project, named *Phenomer* (an acronym for 'visible phenomena at Sea', combined with 'Phenology', in
French) (http://www.phenomer.org/) has been put into practice by both public scientific institutes and non-

90 governmental organization partners (Curd et al. 2014). Beyond communication and outreach objectives on 91 phytoplankton and HABs, the overall aim of Phenomer was to introduce citizens to HAB management and 92 scientific analysis. From a marine coastal management point of view, Phenomer creates a working relationship 93 between volunteers and researchers, with the aim of improving and structuring the observations of water 94 discolorations. Indeed, the major objective of *Phenomer* was to establish if a citizen science approach aimed at 95 the detection of water discolorations may complement sanitary monitoring systems (such as the REPHY in 96 France) which were not specifically conceived for the analysis of such a phenomena 97 (http://www.phenomer.org/). Information gained through Phenomer could also contribute to the economic 98 development (shell farms, tourisms) in coastal areas where water discolorations are less frequent or absent. From 99 a scientific point of view, *Phenomer* aims to explore the possibility to acquire scientifically valuable data on 100 water discoloration and HABS in general, in particular by means of citizen alerts and with both ecological and 101 sociological scientific purposes. Through the help of volunteer participation, Phenomer aims to i) extend the 102 monitoring survey area for coastal water discolorations and structure their observations, ii) identify general 103 trends where HABs and water discolorations in particular are more likely to occur, thus providing scientific data 104 for current and future research, iii) promote an increased awareness and education of the public on HABs, and 105 iv) analyze the perception of visible phytoplankton blooms by inquiring in what way contributors perceive the 106 harm (i.e. environmental, human health, personal) provoked by these phenomena. This study reports the results 107 from the first three years (2013-2015) of this project.

108

109 2. Materials and Methods

110 2.1. Communication and outreach on the project

111 The understanding of a project subject and the acquisition of scientifically valid data through citizen 112 participation are directly dependent on communication actions through appropriate media (Wehner 2011). In 113 order to recruit a broad spectrum of participants to the *Phenomer* project, a number of procedures were 114 developed. A project coordinator together with a scientific communication officer were assigned the 115 development and distribution along Brittany's coastline of 30 000, 32 000 and 38 000 leaflets and 1000, 800 and 116 700 posters presenting the *Phenomer* project in 2013, 2014 and 2015 respectively. The communication on the 117 project objectives was stepped up prior to the summer period of each year, when water discolorations were 118 presumed to occur more frequently. Stickers, postcards (1000 prints of each per year) and a board game for 119 children in 2013 were also developed to ensure the best possible attentiveness to the project. Lectures, interviews 120 on radio shows, articles in the regional press as well as meetings with volunteers at a number of marine events 121 across Brittany over the course of the summer months were organised. A website (http://www.phenomer.org/), 122 and social media page were also developed and regularly updated. Finally, in 2015, a smartphone application 123 (Phenomer©) (Supp. Fig.1) was developed to facilitate the sending of water discoloration photographs taken by 124 citizens thanks to immediate geolocalisation. The geolocalised picture is sent to the scientific team of the 125 consortium via the application, which improves the rapidity of the intervention in the field.

126

127 2.2 Warning protocol of water discoloration detections by volunteers

128 Citizens were invited to report and take pictures of all HAB events they may have observed (water 129 discolorations, foam production or fauna mortalities) across Brittany's coastal waters, either by calling a land-130 line, using the smartphone application, or via an on-line form present on the website (Fig. 1). A group of 131 scientists from the three research institutes in the partnership filled out a duty roster on a weekly basis, during 132 which time they committed to responding to all *Phenomer* alerts as quickly as possible. Observations made by 133 the general public were analyzed by the on-duty scientist in order to filter out false alerts, such as macroalgae 134 accumulations, river runoff, etc. (Fig. 1). It was part of the scientists' responsibilities to respond to any email or 135 phone correspondence related to the project he or she received during the week they were on-duty. Some 136 valuable information could be obtained over the phone, for example establishing whether the observed 137 phenomenon was provoked by macroscopic organisms (visible to the naked eye, e.g. small jellyfish, other 138 gelatinous zooplankton, macroalgae) or by inorganic particles (e.g. sand). On the occasions where the 139 information provided made it plausible that the water discoloration was caused by phytoplankton, either the 140 research team of the project network located closest to the bloom promptly reached the area and took a sample, 141 or the volunteers were asked to follow a sampling and storage protocol (Fig. 1). In 2013, few samples of water 142 discoloration were collected (Table 1), either because water discolorations occurred in areas far away 143 geographically from phytoplankton research laboratories, which limited the rapidity of the intervention of 144 scientists, or because citizens were not able within a short time-frame to transport samples themselves to the 145 nearest research station. In order to overcome this problem, as of 2014 a network of nearly 60 relay structures 146 was created to make it easier for observers to deposit samples somewhere in the vicinity of the observed 147 discoloration. These relay structures are environmental organizations, port and town authorities, water sport 148 clubs, lifeguarding stations or natural protected areas that formally accepted to take part in the project. They 149 were trained by the *Phenomer* scientific consortium (scientists and Non-Governmental organisations) to the steps 150 to take in the event of receiving a water sample taken by an observer (i.e. seawater fixation, preservation and 151 expedition to a scientific laboratory). Once a sample arrived in a relay structure, it was then picked up and 152 analyzed by the nearest laboratory to the water discoloration event (there are 6 dotted around Brittany's 2730 km 153 of coastline). The species responsible for the bloom was identified through light microscopy by a taxonomist of 154 the *Phenomer* consortium. When samples were not collected, the species responsible for the water discoloration 155 was inferred either from data of the REPHY monitoring program or from data of ongoing scientific research 156 projects in areas near the water discoloration event. Feedback was both spread to the scientific community for 157 further research and to the general public on the website, via social media and newsletters (Fig.1). When a toxic 158 species was identified as being responsible for the bloom, the REPHY, the French phytoplankton monitoring 159 program, was informed and precautionary actions were initiated. Citizens were privately thanked by personal 160 direct communication and, with their consent, when using their photographs in Phenomer communication 161 medium (Fig. 1).

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Fig. 1 here

163 2.3. Analysis of water discoloration perception by citizens

164 During 2013-2014, a series of 13 preliminary semi-structured phone interviews were carried out with those 165 observers having accepted to be interviewed. The preliminary results from these interviews made it possible to 166 outline a typical profile of the people taking part in *Phenomer* and to construct an *ad-hoc* questionnaire adapted 167 to this population (Supp. Materiel 1). Composed of a dozen questions, the questionnaire cross-examines the 168 context in which the observation is made, what observers feel in relation to these phenomena and whether, 169 according to them, HABs are likely to impact human health and/or the environment in either a good or a bad 170 way. This questionnaire was then taken by water discoloration observers in 2015. All participants were informed 171 of the data protection legislation protecting their personal data rights, in compliance with the CNIL (Commission 172 Nationale de l'Informatique et des Libertés) (CNIL 2016), the French committee responsible for ensuring that 173 information technology complies with national data protection legislation. Participants submitting a 174 phytoplankton bloom observation via the online form or Smartphone application are asked to tick a box 175 certifying they have read and understood the "charte d'utilisation" (terms of use), which serves as a statement of 176 informed consent, explaining their rights regarding personal data. When participants reported their bloom 177 observations over the phone, they were informed of their personal data rights over the phone, and a log was kept 178 of the conversation. All participant consent is recorded in the back office of the Phenomer website 179 (ww.phenomer.org) where a database kept track of every phone call and completed form. The CNIL approved 180 the type of data collected on participants, and manner in which collecting participant consent is recorded (ref. 181 number 1684966 v0).

182

183 **3. Results**

184 *3.1 Citizen observations of water discoloration phenomena*

185 During the spring-summertime periods (March-August) of 2013, 2014, and 2015, the means of contact most 186 used for *Phenomer* was the internet site, with an increasing number of visitors over time (2621 in 2013, 6987 in 187 2014, and 11044 in 2015). During the three years of project implementation citizens made 69, 74 and 88 mostly 188 one-off alerts either by phone, smartphone application or via the website form (Table 1). Out of these alerts, 40, 189 40 and 47 observations reported by citizens were phenomena potentially linked to plankton. The other contacts 190 were mostly general enquiries about various marine phenomena observed in the intertidal zone (macroalgae, 191 bioluminescence, even nudibranch [Aplysia spp.] egg-ribbons). These general inquiries are a normal part of any 192 citizen science program. After discussions by phone with the observers, sample collections and/or data 193 comparison with the REPHY network or other research programs, respectively 24, 40 and 30 observations were 194 considered to be valid scientific observations and potential phytoplankton water discolorations (Table 1). The 195 discarded observations were put aside either after viewing the photos provided and/or talking with the observer. 196 Some were observations of macroalgae. Others either had inconclusive pictures, descriptions more closely 197 resembling zooplankton aggregations, concerned brackish lagoons or were located outside of Brittany in areas 198 not covered by the *Phenomer* network. Many of the observations made by citizens were reported in areas outside 199 the routine phytoplankton monitoring perimeter. In 2013 (10 observations), 2014 (31 observations), and 2015 200 (15 observations), respectively 42%, 77%, and 50% of potential discolorations phenomena occurring in Brittany 201 were not reported in the frame of the routine phytoplankton monitoring system (Table 1). Out of these potential 202 water discoloration phenomena, 14, 32 and 28 could be sampled and definitively proved to be water 203 discolorations caused by massive phytoplankton development (Table 1). The remaining 10, 8 and 2 observations 204 could not be sampled either because citizens contacted the Phenomer program too late or the blooms 205 disappeared, or because the phenomena occurred in remote areas, far from research centers where samples could 206 be quickly deposited. This second bias occurred especially in 2013 (10 water discolorations not sampled) before 207 the setup of relay structures. The confirmed phytoplankton blooms corresponded to red, green, and dark brown 208 discolorations; most of which were observed during July-August. In 2013, 2014 and 2015 respectively, 7, 24 and 209 14 phenomena were observed outside of routine monitoring points (Table 1).

210

Table 1 here

211 3.2 Citizen perception of water discolorations resulting from semi-structured phone interviews

212 During the 2015 season a total of 25 questionnaires were completed. About 80% of Phenomer observers were 213 already informed about the HAB phenomena taking part in the program, and out of these 60% had already 214 observed phytoplankton under the microscope. Observers were then asked to give their opinion about their 215 perception of how frequently water discolorations occur. The majority (52%) of observers considered water 216 discoloration events to occur sporadically, 32% considered them to occur regularly, 12% considered these events 217 to occur frequently, 0% felt them to occur more rarely and 20% felt unable to answer the question because of 218 insufficient knowledge. The vast majority (83%) believed water discolorations to have an environmental impact, 219 in both a harmful and a useful way (Fig. 2A). To a lesser extent (25%), interviewees felt that seawater 220 discolorations have economic impacts and impacts on human health. Few people considered water discoloration 221 harmful from a personal or aesthetic point of view. Observers were driven to report water discolorations events 222 out of environmental awareness (Fig. 2B), to help the program, or to understand the origin and effects of bloom 223 phenomena. People wanted to understand more about water discoloration whether in relation to its effect on 224 mankind, the environment, or in general, in near-equal proportions. No observers wished to complain about the 225 presence of water discolorations.

226

Fig. 2 here

227 3.3 Observed water discolorations in relation with monitoring activities

228 Red discolorations were regularly observed during the three years of project implementation from May to 229 August from at different locations in southern Brittany's coastal waters, across an area of about 150 km in 2013 230 and 130km in 2015 (Fig. 3, Fig. 4A, C). Red discoloration phenomena were mostly restricted to the shoreline or 231 to the first hundreds meters of the coastal waters (Fig. 4A). Across the three years, 14 over the 28 observations 232 (50%) occurred in areas not monitored by the sanitary monitoring system (Table 1). Given their small surface 233 area, they were mostly observed by tourists on the shore or people working in coastal waters. They were always 234 linked to massive development and dominance in the phytoplankton samples of the protist Noctiluca scintillans 235 (200-1200 µm in size, Fig. 4B). In 2013, red discolorations were observed mostly in July-August. In less than a week's time $(21^{st} - 26^{th} \text{ July } 2013)$, citizen observations were made almost simultaneously across an area of 236 237 more than 150km, between the Yeu (21st July) and Groix (22nd July) islands in areas not monitored by the 238 REPHY system (Fig. 3A) In 2014 and 2015 red discolorations attributable to N. scintillans discolorations were 239 reported in southern Brittany earlier in the summer, in June-July. No observations were made in August in 2014 240 and 2015 (Figs 3A, 3B).

241

Fig. 3 here, Fig. 4 here

242 Green seawater discolorations were the most frequent phenomena detected over the three years (Table 1, Fig. 3, Fig. 4D, F). All warnings corresponded to massive development in phytoplankton samples of the 243 244 dinoflagellate Lepidodinium chlorophorum (Fig. 4E). Green blooms of L. chlorophorum were observed in 245 coastal and off shore areas, with some localized and some very widespread discolorations. Across the three 246 years, 25 over the 36 green bloom observations (69%) occurred in areas not monitored by the sanitary monitoring 247 system (Table 1). In 2013 green discolorations were detected four times in a relatively restricted area (between 248 the Bay of Vilaine and the Loire River outlet), in a time window (from the 19th to the 30th of August) not sampled by the REPHY monitoring (Fig. 3A). In nearby REPHY zones, on the 26-28th August, cell counts of L. 249 250 chlorophorum, during the green discoloration phenomena varied between 14 800 cells.L⁻¹ and 2.90 X 10⁶ cells.L 251 ¹ (Le Croisic, Coupelasse large). In 2014, 24 observations of green water discolorations were reported almost daily over a period of about one about month (from the 3rd to the 8th of August) across a wider area than in 2013, 252 253 throughout southern Brittany's coastal waters, remaining however more frequent in the Bay of Vilaine zone (Fig 254 3B). Discolorations were either limited to the shoreline and reported by beachgoers or largely extended off-shore 255 waters and were observed by amateur yachtsmen and light aircraft pilots. Off-shore phenomena were particularly 256 impressive due to their spatial extension (Fig. 5A). Discolorations occurred generally in surface waters, but a 257 green bloom was also reported by a scuba diver at 23m depth on the 26th July. When heavy water discolorations 258 occurred in coastal waters, 9 citizens out of the 24 observing green water discolorations, reported slimy, dense waters, which emitted a pungent, unpleasant odor. From the 16th to the 19th of July, citizens reported fish (soles, 259 260 sand eels, weevers), jellyfish, mollusks and crab mortalities both in the water (Fig. 5B) and washed up on the beach (Fig 5C). On five occasions (16th, 17th 19th, 21st, 22nd of July) multiple warnings were received from 261 262 different locations, demonstrating both the amplitude of the phenomena and the concern of the citizens (Fig. 3B). 263 The highest cell concentration of the species, 7.5 X 10⁶ cells.L⁻¹ was reported on the 22nd of July (Port Manez), 264 but it is likely that cell maxima during the episode largely exceeded this value. Due to this phenomenon, the 265 2014 season of the project has the highest number of warnings (Table 1). As in 2013, in 2015, green 266 discolorations were less frequent, less extended, and located mainly outside of the REPHY (Table 1). No event 267 was observed in August (Fig. 3C). Three observations were performed south of the Phenomer project's 268 perimeter, demonstrating that these phenomena also occur at lower latitudes.

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Fig. 5 here

270 Other phenomena were observed beyond N. scintillans and L. cholorophorum water discolorations. On 271 August 12th 2013, two separate citizen observations of dark-brown discolorations were reported from separate 272 shallow locations in the Bay of Vilaine in zones not monitored by the REPHY network (Fig. 3A, Table 1). In 273 phytoplankton samples collected in both areas on the same day following citizen warnings, co-occurring blooms 274 of the marine-fauna toxic raphidophyte *Heterosigma akashiwo* (max 3.00×10^6 cells.L⁻¹) and the dictyochophyte 275 *Pseudochattonella verruculosa* (max 1.90×10^6 cells.L⁻¹) were observed. Seawater dissolved oxygen 276 concentrations at the sea floor in both locations were very low (4.9 and 5.5 mg L^{-1}), and at one site a mass 277 mortality of bivalves and small crustaceans was observed. Other brown discolorations were attributed to diatom 278 proliferations. On the 20th July 2014 a red discoloration observed in the Bay of Brest, in an area not routinely 279 monitored by the REPHY, was attributed to the massive presence (3.6 cells.L⁻¹ on the 21st of July, Penfoul) of the toxic dinoflagellate Alexandrium minutum (PSP causative species) (Fig. 3B, Table 1). A pinkish/brownish 280 discoloration was observed by citizens on the 14th June 2014 in the Iroise Sea (Douarnenez Bay). Microscopic 281 282 analyses made it possible to attribute the discoloration to the massive presence of the dinoflagellate Ceratium 283 *kofoidii* (1.85 X 10^5 cells·L⁻¹, June 14th), associated to the presence of other species such as *Ceratium fusus* (1.26 284 X 10^4 cells·L⁻¹) and Leptocylindrus danicus (3.00 X 10^4 cells·L⁻¹) (Fig. 3B). Two brown discolorations in 2014 285 from both the English Channel and the Atlantic waters corresponded to a mixture of diatom species with higher concentrations of the species Leptocylindrus danicus (4.4 X 10⁶ cells.L⁻¹, 16th May) and Guinardia delicatula 286 (9,8 X 10⁵ cells.L⁻¹, 16th May) among other diatoms (Fig. 2B). One event in 2015 was attributed to the bloom of 287

Brockmanniella brockmannii (Fig. 3C). On 9 March 2015 foams due the proliferation of *Phaeocystis* were
observed in the north-eastern part of Brittany, facing the English Channel (Fig. 3C).

290

291 4. Discussion

292 The citizen science program *Phenomer* deployed in Brittany from 2013 to 2015 represents the first structured 293 monitoring program of water discoloration phenomena in the area. It gives a good example of the validity of this 294 new approach for studying and managing HAB phenomena. The project was an opportunity for a first 295 sociological analysis of the audience and their motivation for reporting these phenomena. In addition, it provides 296 new information useful to analyse HAB species phenology and distribution. Phenomer has revealed itself to be 297 very complimentary to the ongoing French phytosanitary monitoring which was not designed for the detection of 298 water discolorations, and has more generally helped to clarify the possible contribution and limits of the citizen 299 science approach for plankton studies.

300

301 *4.1 Citizen feedback*

302 Citizens who took part in *Phenomer* had heard about project mostly through articles in the regional press and 303 through the internet website. The target audience of Phenomer was composed entirely of people frequenting the 304 Brittany coastline. Our questionnaire shows that people who took part in Phenomer were relatively well 305 informed and interested about the aims of the project. Most of the people who took part in the project had heard 306 about water discolorations caused by microalgae before the implementation of *Phenomer*. The majority (60%) of 307 the observations whilst the participants carried out their professional activities (i.e. fishing, performing 308 environmental analyses within Marine Protected Areas, etc.). However, during telephone discussions between 309 scientists and observers some clarifications were given, especially concerning health risks connected to water 310 discoloration phenomena. Often the difference between green macroalgal proliferations (i.e. Ulva spp.) and 311 phytoplankton blooms was explained. Massive proliferations of green macroalgae that recurrently wash up on 312 Brittany's beaches are a highly mediatized topic, and the difference between the two phenomena had to be 313 carefully explained in the *Phenomer* communication materials and during phone conversations.

The questionnaire submitted to the water discoloration observers in 2015 helped to better identify the sociological profile of people participating to the project, as already discussed in other citizen science approaches (Martin et al., 2016). These first results show that the audience most receptive to *Phenomer* was a 317 limited proportion of the total population frequenting the marine coastal zone. It was composed of people already 318 informed about the water discoloration phenomena and their development. Their knowledge of this natural 319 phenomenon drove them to call. They participated in the project because they wanted to know more about what 320 they observed and the effects, if any, on humans and the environment. Their wish to help scientists in 321 understanding triggering factors of water discolorations was particularly noticed. Water discolorations were not 322 perceived as harmful phenomena, and the people taking part in the project were not protesting about these 323 phenomena, but instead were people sensitive to and concerned by environmental issues. However, the profile of 324 people participating to *Phenomer* in 2015 has to be considered in the light of the absence of major, impressive 325 phenomena, and therefore as a typical *Phenomer* participant profile. In 2014, pre-analyses of people driven to 326 signal water discoloration phenomena included not only typical profiles of well informed and environmentally 327 concerned people, but also that of people alarmed by the repetitive and impressive green discoloration as well as 328 the fauna mortalities recorded in July. Hence, the intrinsic natural variability of the water discolorations and their 329 effects on the environment may trigger changes first and foremost in the people affected by, and aware of these 330 phenomena. From a broader perspective, the nexus between a scientific and sociological analysis of HABs 331 remains inadequate, and prevents the assessment of HAB real impact on human well-being (Wells et al., 2016).

332

333 4.2 Ecological analysis of the water discolorations observed

334 Despite only having been collected over a relatively short time period, and with an innovative approach for 335 phytoplankton bloom studies, our data sheds new light on the frequency and spatial and temporal extension of 336 water discoloration phenomena along Brittany's coastline. The first three years of the project running 337 demonstrate variability in the number, frequency and month of summer in which alerts are reported. If most of 338 water discolorations were signaled during the end of July and August, in 2015 red discolorations of N. scintillans were observed mostly in June and the last observation was performed on the 16th of July. The reasons for this 339 340 variability are not found in a difference in the project implementation (i.e. communication efforts, scientific 341 engagement) but rather in meteorological conditions which affected both phytoplankton proliferations and the 342 tourist season. The end of summer 2015 was particularly cool and unsettled, with heavy rain at times, hampering 343 phytoplankton development as well as tourism along the north Atlantic and English Channel ("Met Office UK 344 Climate Summary - Summer 2015," n.d.). Water discoloration alerts can therefore vary from one year to the next

not because of bias in our citizen science project construction, but because of the intrinsic biological variabilityof phytoplankton bloom phenology.

347 Most of the red discolorations observed during the three years of the project implementation are linked 348 to the massive presence of the protist *Noctiluca scintillans*. This species is generally considered as an aberrant 349 heterotrophic dinoflagellate, having significant morphological and biological differences with typical 350 dinoflagellates (diploidy, presence of tentacle, absence of condensed chromosomes) (Gomez et al. 2010). 351 Noctiluca scintillans can feed upon a large variety of preys (diatoms, dinoflagellates, copepod eggs and small 352 ciliates), but diatoms seem to be its preferred food source for proliferation (Gomez et al. 2010). Cells start 353 feeding and growing in the water column, then move to the surface, where the bloom is visible as a thin red 354 layer. Therefore the red visible discolorations caused by N. scintillans actually correspond to the end of the 355 species bloom (Harrison et al. 2011). Red discolorations are typical coastal phenomena as abundance of N. 356 scintillans decreases offshore. High concentrations occur along water fronts (Hesse et al. 1989) and wind 357 direction plays a role in concentrating the bloom at the shoreline (Harrison et al. 2011). Blooms of red N. 358 scintillans are common and well-known phenomena across all French coastlines, as they have been observed in 359 the English Channel (Boalch 1987), western Brittany (Le Fèvre & Grall 1970), the southern part of the Bay of 360 Biscay (Quevedo et al. 1999; Cabal et al. 2008) and the Mediterranean Sea (Métivier & Soyer-Gobillard, 1988). 361 Red discolorations are observed annually across Brittany waters, always through sporadic, ad hoc observations. 362 Despite being visible from an aircraft, studies at large spatial scales are still missing. Le Fèvre and Grall (1970) studied a large bloom (20 nautical miles) which occurred on July 20th, 1967 off the western coast of Brittany 363 364 (Iroise Sea) and concluded that a surface convergence area due to an outflow of coastal water produced a large 365 bloom of N. scintillans. Observations reported by citizens during the summer 2013 within the framework of 366 Phenomer project provide a first structured assessment of N. scintillans discolorations across southern Brittany 367 waters and an estimate of their spatial extension. It is not possible to infer that all 2013 observations belonged to 368 a unique bloom and population. Single, separate blooms could have occurred locally and/or at different times. 369 Water horizontal transport coupled with wind direction (all 2013 events observed occurred with westerly winds) 370 have certainly contributed to accumulate N. scintillans cells, spotlighting red discolorations, either in 371 correspondence with frontal water masses, or in nearshore local embayment. Relatively low (max 3200 cell.L⁻¹) 372 cell concentration counts for a phytoplankton bloom event in areas near observed phenomena argue in favor of a 373 local, patchy accumulation of *N. scintillans* water discolorations across Brittany waters.

374 Green water discolorations caused by dinoflagellates are poorly scientifically documented (Honsell et 375 al. 1988; Jimenez et al. 1992; Sournia et al. 1992; Paulmier et al. 1995, Elbrachter & Schnepf 1996). The 376 dinoflagellate Lepidodinium chlorophorum has been recognized as one potential cause of these discolorations. It 377 is a naked dinoflagellate of the 'green lineage' of the dinoflagellate evolution characterized by the presence of 378 chlorophyll b as the principal pigment, which gives the green color to the dinoflagellate (Hansen et al. 2007; 379 Siano et al 2009). Green water discolorations caused by Lepidodinium spp. can be extensive and spectacular 380 phenomena reported from Italy (Adriatic Sea) (Honsell et al. 1988), the North-West of Spain (Jimenez et al. 381 1992) and the North Sea (Elbrachter & Schnepf 1996). In France, the species was reported along the West 382 Atlantic coast for the first time in 1982 (Lassus 1988). Since then, the abundance, extent, duration and intensity 383 of the blooms have seemingly increased, with large water discolorations being observed in the Bay of Vilaine (southern Brittany) and in the Bay of Seine (English Channel, Normandy) until 1991 (Sournia et al. 1992). 384

385 As reported by Sournia et al. (1992), discolorations caused by L. chlorophorum were reported via 386 Phenomer as being gelatinous, mucous or slimy. Tourists and swimmers complained about the appearance and 387 consistency of seawater. Depending on the episodes and their locations, mortality of mussels, oysters, smaller 388 mollusks, shrimps, crabs, and smaller crustaceans, werelinked to a decrease of the oxygen availability due to the 389 decomposition of the phytoplankton biomass. Between 1982 and 1991, Sournia et al. (1992) correlated water 390 discolorations with riverine freshwater inputs. Cysts of the species were observed in water samples and daily 391 vertical migration of the species was observed with peaks in concentration varying throughout the water column 392 (Sournia et al. 1992). Discolorations during 1982-1991 were reported in the summer time, except for two 393 episodes which occurred in autumn (October and December) (Sournia et al. 1992). It is acknowledged that L. 394 chlorophorum can be an important component of the late summer-autumn phytoplankton community, as 395 reported in the English Channel (Napoléon et al. 2014). Episodes reported by citizens in 2013 and 2014 in the 396 frame of the *Phenomer* project confirmed that the Vilaine Bay and the Loire River output in southern Brittany 397 are high risk areas for L. chlorophorum blooms. 2014 observations yielded new information on the areas which 398 could be potentially affected by these phenomena, both to the north and to the south of the Loire River output 399 and the Vilaine Bay (Fig. 3B). Data collected in the frame of *Phenomer* suggest L. chlorophorum blooms can be 400 long lasting across southern Brittany waters. Continuous data (almost daily citizen alerts, 24 observations in 401 total) over a period of almost one month suggest that blooms of this species can last at least one month and that a 402 higher intensity of L. chlorophorum blooms can coincide with a fish mortality presumably caused by anoxic 403 conditions. Interestingly, L. chlorophorum was considered as not being ingested by the oyster Magallana gigas,

404 ,after in-situ observations carried out in the Bay of Vilaine, which suggest a potential negative effect on oyster405 growth during bloom concentrations (Alunno-Bruscia et al. 2011).

406 Dark brown discolorations caused by diatoms, as those observed in the frame of the Phenomer projet, 407 are frequent phenomena reported worldwide. Other microalgae of the phylum Ochrophyta (Heterokontophyta) 408 are responsible for dark brown discolorations, including members of the class Raphidophyta and Dictyochophyta 409 to which Heterosigma akashiwo and Pseudochattonella verruculosa (ex. Chattonella verruculosa, Hosoi-Tanabe 410 S. 2007) belong respectively. Both species have been traditionally associated to fish mortalities (Hara and 411 Chihara, 1987, Yamamoto & Tanaka 1990; Hallegraeff & Hara 1995), but their toxic effect can potentially 412 extend to other marine organisms. Indeed in vitro experiments demonstrated that H. akashiwo may have lethal 413 and sublethal impacts on shellfish (Hégaret et al. 2011) and that rotifers died when fed upon P. verruculosa 414 (Chang et al. 2014). It is hard to discern if the episode of massive mortality of bivalves and small crustaceans 415 observed in 2013 is related to the high concentration of one or both toxic species, or to the anoxic conditions 416 which developed during the bloom.

417

418 4.3 Citizen science as a complementary approach to phytoplankton studies and monitoring programs:
419 advantages and limits

420 Citizen sciences offer the possibility to increase the frequency of observations/samples of a research program 421 providing relatively low cost-data (Jarvis et al., 2015). The example of *Phenomer* showed that the citizen science 422 approach may be applied to plankton data collection and analyses and that the information gained through this 423 method allows the acquisition of information that can be overtaken by routine plankton monitoring. The 61% of 424 water discolorations observed by citizens were sampled outside of areas covered by the REPHY French 425 monitoring system (Table 1). This monitoring network, as with all those classically used for sanitary purposes, 426 was not conceived for surveying and studying water discoloration. Hence, Phenomer demonstrated therefore that 427 a citizen science network can complete routine monitoring systems for structuring the detection and analysis of 428 water discoloration phenomena. In addition, Phenomer provided new scientific information and pointers for 429 studying the phenology of water discoloration causing species, as well as new information on the biogeography 430 of species capable of HAB events.

431 Our example of a citizen approach for getting information on plankton, allowed us to better discern 432 identification of the constraints and limits of such an application. Obtaining data on species responsible for 433 seawater discolorations, is dependent on: i) the frequency at which coastal zones are observed and subsequently 434 sampled with respect to other areas (see Jarvis et al., 2015 for the analysis of the importance of citizen science in 435 the marine spatial planning of coastal ecosystems), ii) the perception and identification of an 'anomalous' 436 phenomenon (an unusual color of the sea) by the general public, iii) the awareness, responsiveness and sense of 437 civil responsibility of the citizens towards the marine environment and potential ecological risks, and iv) the 438 rapidity and the quantity of the analysis of bloom samples.

439 Despite communication efforts about water discolorations remaining constant throughout the three years 440 of project deployment and across the geographic Brittany territory, we cannot ensure that the *Phenomer* program 441 accounts for all discoloration phenomena occurring in Brittany. In the English Channel sector only a few 442 discolorations caused by diatoms were reported in 2014 and 2015. It is possible that other unreported visible 443 blooms may have occurred in this area. Indeed blooms of phytoplankton taxa exceeding for example from 1 to 7 444 million cells.L⁻¹ (Chaetoceros spp., Dactyliosolen fragilissimus, Pseudo-nitzschia spp., cryptophytes, 445 Thalassionema spp.) were detected in the northern as well as in southern stations of the REPHY network 446 (REPHY data). Yet, such high cell concentrations do not automatically correspond to water discolorations. 447 Conversely, seawater discolorations do not always correspond to phytoplankton high abundances. Seawater can 448 be colored for instance by pollutants (i.e. oils, petrol), sediment suspension, and other physico-chemical 449 properties. This aspect has been taken into account in the formulation of the protocol and always analyzed during 450 the interview made by scientists to the water discoloration observers. In some ecosystems, natural phenomena 451 (storms, rains, winds, currents, and river plumes) can contribute to re-suspend bottom sediments and increase 452 water turbidity, thus giving the sea a more or less dark/light brown color for example. The color of the sea that a 453 common citizen can perceive as 'normal' is a relative concept, strictly dependent on the ecosystems. People 454 living along stretches of coastline with clear waters can relatively easily identify a drastic change in the color of 455 the sea and react to this phenomenon. However, people living on coastlines with turbid coastal waters are used to 456 a light/dark brown appearance of the seawater. They may therefore have difficulties, first in perceiving 457 'anomalous' dark brown discolorations and second in attributing them to phytoplankton bloom events. Only a 458 multiannual evaluation of the number and frequency of the alerts collected by Phenomer, coupled with an 459 interview analysis of the observers with respect to their ecosystems will smooth the bias linked to coast 460 frequentation and citizen reactivity, eventually allowing a complete census of all discoloration phenomena461 potentially occurring across Brittany coastal waters.

The rapid analysis of a water discoloration sample and the correct taxonomic identification of 462 463 responsible species are needed for biodiversity and ecological studies on microalgae. Dark brown discolorations 464 may be caused by different algal species and even classes. Equally, different shades of red discolorations may be 465 provoked not only by N. scintillans but also by other dinoflagellates (e.g. Alexandrium. spp., Prorocentrum spp., 466 Ceratium spp.), ciliates (e.g. Mesodinium rubrum) and cryptophytes. Whilst it is true that few dinoflagellates 467 may cause green discolorations, other green-pigmented microalgal classes (Prasinophyta and Cyanophyta) are 468 known to frequently provoke green blooms. It is therefore incorrect and a potential risk to associate univocally a 469 color of the water to a single microalga. The collection of water samples and their analysis is of course time 470 consuming and may be discouraging, but it is a key component in the longevity and scientific validity of a 471 citizen science project addressing ecological and biodiversity questions on plankton In the frame of *Phenomer*, if 472 the reactivity and the participation of people after a water discoloration observation was successful, the logistics 473 for ensuring a rapid and efficient sample collection were heavy. In 2013, 10 observed phenomena could not be 474 sampled. In the following years the set-up of the relay structure network revealed to be a good strategy to 475 overcome the problem and fewer phenomena (7 in 2014 and 2 in 2015) were overlooked. The down-sizing of the 476 study area, or a citizen science strategy based on a specific community of people (shellfish farmers, 477 environmental associations) rather than a very broad target audience such as that in *Phenomer*, could probably 478 help in the building trust and loyalty between researchers and citizens, which are the very foundations of a 479 citizen science approach.

480

481 5. Conclusions

Results obtained on the Brittany coast of France in the first three years (2013-2015) of deployment of the *Phenomer* project show that our citizen science approach was very helpful for: 1) signaling water discoloration phenomena not observed by the routine, national monitoring, thus showing the complementarity of these two networks; 2) assessing a potential spatial extension of water discolorations, and particularly of those caused by *N. scintillans* across southern Brittany; 3) giving a time frame to the duration of discoloration phenomena, in particular of green ones caused by *L. chlorophorum* in the Vilaine Bay-Loire estuary area. As well as being of regional interest, these data contribute to the study of global biogeography of harmful species and HAB events. In addition, a first sociological profile of people concerned by water discolorations was elaborated. People concerned by water discoloration phenomena were well-informed citizens, aware of and concerned by environmental issues. Water discolorations are mostly perceived as an anomalous, alarming status of the environment. However the sociological profile of people participating in such a citizen science project can vary accordingly to the gravity of water discolorations occurrences and effects.

494 Phenomer has shown the potential of citizen science in research on phytoplankton ecology, biodiversity 495 and visible HAB detections and allows the identification of some recommendations. Future application of the 496 citizen science approach to plankton should: i) carefully evaluate, at the time of designing the study, how citizens 497 can help to attain scientific research objectives (information to be collected, sampling frequency, relay points to 498 deposit samples); ii) develop clear and simple communication medium presenting the research objectives and 499 sampling protocol; iii) target the community of people that could be more concerned by the problematic of the 500 project as a guarantee of citizen investment in the project activities. Beyond scientific objectives, the application 501 of a citizen science approach in the frame of a research project has the great unquantifiable value of 502 disseminating knowledge on microscopic organisms, and educating voluntary participants to environmental 503 issues connected to phytoplankton such as HABs. Citizen science could be a new method to helping HABs be 504 recognized as one facet of complex ecosystem interactions with human society. This approach, coupled with 505 research, monitoring, and management of HAB phenomena, could help to find local solutions to a global issue.

506

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- 521

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1 Table and Figure Captions

- 2 Fig. 1. *Phenomer* water discoloration reporting process flowchart (single column fitting)
- Fig. 2. Citizen perception of water discolorations (A) and motivation to report their observations (B). (single
 column fitting).
- 5 Fig. 3. Water discoloration phenomena reported by citizens in 2013, 2014 and 2015 and dominant taxa identified
- 6 by the phytoplankton taxonomists of the *Phenomer* consortium in water samples. Sampling stations of the
- 7 National Phytoplankton Monitoring System REPHY of Ifremer are also indicated. (two column fitting).
- 8 Fig. 4. Red discoloration pictures (A, C), caused by Noctiluca scintillans (B, scale bar: 50 µm). Green
- 9 discoloration pictures (D, F) caused by *Lepidodinium chlorophorum* (E, scale bar: 20µm). Pictures A, C, D and F
- 10 are taken either by citizens from the coast and or from aircrafts (C). (1.5 column fitting).
- Fig. 5. Green discolorations and fish mortality in the Bay of Vilaine (South of Brittany) in 2014. (1.5 column
 fitting).
- Supp. Fig. 1. Screenshots of the iOS Phenomer Smartphone application (available in French only). The third
 panel illustrates the « nearest relay structures to you » function.
- 15 Supp. Material 1. Questionnaire taken by water discolorations observers either during phone interviews or via16 the on-line form present on the website (French version online).

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Widespread communication

Leaflet distribution, use of local & national media

Observations reported

On answer phone, smartphone app website

Feedback

Results published on WMS, observers thanked

False alert filter

Through scientific analysis of the call and/or photos

Seawater sampling

By research teams or volunteers Sample is conveyed to nearest lab

Blooming species identification

Species-specific research actions

Initiated by the Phenomer scientific steering committee When phycotoxin producing species are identified, the REPHY network is informed









	Contacts	n. of different observers	Contact method	False alarms	Plankton- related observations	Potential water discolorations	Discolorations observed within the perimeter of routine phytoplankton monitoring	Discolorations observed outside of the area covered by routine phytoplankton monitoring	Water discolorations sampled	Noctiluca scintillans discolorations sampled via routine phytoplankton monitoring vs. Phenomer	Lepidodinium chlorophorum discolorations sampled via routine phytoplankton monitoring vs. Phenomer	Other discolorations sampled via routine phytoplankton monitoring vs Phenomer
2013	69	69	Voicemail:29 Online form: 18 E-mail: 22	29	40	24	14	10	14	4-4	3-1	0-2
2014	74	68	Voicemail: 12 Online form: 51 E-mail: 11	34	40	40	9	31	32	1-3	6-18	1-3
2015	88	83	Voicemail: 32 Online form: 48 E-mail: 8	41	47	30	15	15	28	9-7	2-6	3-1

