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Citizen Participation in Monitoring Phytoplankton Seawater Discolorations

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1 **1. Introduction**

2 Phytoplankton blooms in marine ecosystems correspond to high concentrations of eukaryotic single-
3 celled (protist) photosynthetic organisms. Single species of typical bloom-forming microalgal groups such as
4 dinoflagellates or diatoms, react relatively rapidly to environmental changes (variation of nutrient concentration
5 ratios, water mixing, etc.) due to their high duplication rates, physiological plasticity and life strategy adaptations
6 (Smetacek 2002). Under favorable environmental conditions which allow cellular duplication, blooms can be
7 observed, generally with high biomass production (Cloern 1996). The remineralization of this high biomass by
8 bacteria can cause anoxia/hypoxia phenomena, leading to mass mortality of the marine fauna inhabiting the zone
9 where the bloom occurred. Mass mortality of marine fauna can hit wild populations or farmed stocks
10 (Hallegraeff 2000). If anoxia induced by high biomass-production blooms occurs in aquaculture exploitations,
11 the linked economic activities are strongly affected, with large-scale losses of live fish or shellfish destined for
12 human commercialization and consumption (Zingone and Enevoldsen 2000). Massive biomass accumulation can
13 also cause surface seawater discoloration (i.e. green, red, brownish or whitish colors) or foam production, thus
14 altering the appearance and aesthetics of coastal waters. These impressive and sometimes eye-catching natural
15 events can hinder tourism activities (i.e. water sports, leisure, beaches, hotels, restaurants) whose economic
16 benefits depend on the good aesthetics of the water in the coastal area (Zingone and Enevoldsen 2000). Tourists
17 are reluctant to visit areas where discolorations are more frequent and intense, hence affecting the local
18 economy. Some blooming species can also metabolize toxins which are directly harmful when released outside
19 the cell (hemolytic, ichtyotoxic, cytotoxic effects) to marine fauna or indirectly for humans who can suffer from
20 Diarrheic (DSP), Paralytic (PSP), Neurotoxic (NSP), Amnesic (ASP), and Azaspiracid (AZP) Shellfish
21 Poisoning as well and Ciguatera Fish Poisoning (CFP) through the consumption of seafood that has bio-
22 accumulated toxic substances. Finally, skin irritations and respiratory problems have been reported in humans
23 who have gotten into contact with aerosols developed after blooms of toxigenic species. All phenomena
24 connected to phytoplankton developments that are detrimental to the ecosystem and/or human health and/or
25 economic activities are classified and known as Harmful Algal Blooms (HABs) (Hallegraeff 2000, Zingone and
26 Enevoldsen 2000).

27 Countries in possession of fishery and aquaculture sectors are particularly aware of HABs, and in
28 particular of the toxigenic potential of some phytoplankton species. They therefore implement monitoring
29 activities for toxic phytoplankton species in zones inhabited by natural and cultivated shellfish populations.

30 Despite the problems that phytoplankton discolorations may cause to tourism and wildlife, phytoplankton
31 monitoring systems are not designed for this kind of HAB phenomenon. This is for example the case in France
32 of the REPHY (*REseau de surveillance et d'observation du PHYtoplankton et des PHYcotoxines*)
33 (http://envlit.ifremer.fr/surveillance/phytoplankton_phycotoxines/presentation) which, since its launch in 1984, is
34 designed to monitor toxic species and not water discolorations. Seawater discolorations can affect relatively
35 small and patchy areas, or can extend across very large (hundreds of kilometers) areas, rendering them visible by
36 satellite (Cloern 1996). These phenomena occur in different regions of the World Ocean, both in offshore areas
37 (i.e. coccolithophore blooms), and, more frequently, in coastal areas, mainly in semi-confined zones (harbours,
38 estuaries (Hallegraeff 2000, Zingone and Enevoldsen 2000). They can develop rapidly, locally and die out
39 relatively quickly (within a few days) (Cloern 1996). The ephemeral nature of seawater discoloration phenomena
40 hinders the experimental design of their monitoring. Local phytoplankton monitoring systems are based on fixed
41 sampling stations, with surveys scheduled at regular intervals. This static organization of monitoring systems
42 (with stations often located near shellfish farms to monitor toxic species for sanitary reasons) can cause seawater
43 discolorations to be overlooked. These can occur either between scheduled sample collections (which generally
44 take place every fortnight) or in sites or during periods not usually sampled. Seawater discolorations are often
45 reported in a sporadic and random way, based on personal observations of individuals (scientists or otherwise)
46 aware of the environmental issues associated to such an event.

47 Citizen observations can potentially provide complementary information to ongoing sanitary monitoring
48 programs of phytoplankton or field campaigns (Castilla et al. 2015). They contribute towards a better grasp of
49 both the spatial and temporal scales at which water discolorations occur in coastal waters, particularly during the
50 summer. This is the time of year when these phenomena are most likely to develop, which corresponds to the
51 period when there are more people present on the coast. Citizen science is a nexus between science and society,
52 being both a new opportunity for scientists to acquire new data and for the public to interact with science (Jarvis
53 et al. 2015; Martin et al., 2016). Although the citizen science movement is well-established, (Miller-Rushing et
54 al. 2012), particularly in terrestrial monitoring programs, the engagement of non-professional actors in scientific
55 investigations has dramatically increased in recent years, especially in environmental sciences (ornithology,
56 paleontology, marine and terrestrial biodiversity) (e.g. Bodilis et al. 2014) that all require large amounts of data
57 from heterogenic sources (Kelling et al. 2009). Citizen science have been lauded for: i) the education of
58 voluntary participants to environmental issues, ii) providing low-cost data useful for sustainable management
59 decisions (Jarvis et al., 2015), iii) empowering citizens to participate more actively in local conservation and

60 management decisions (Martin et al., 2016), and iv) engaging stakeholders in conservation planning (Jansujwicz
61 et al. 2013).

62 Marine citizen science monitoring programs are increasingly common, with the public now being able
63 to get involved in the detection and identification of: a) marine macrofauna easily observed from the sea surface
64 (i.e. marine mammals, sharks, jellyfish) or b) marine organisms, which can be observed by 'citizen' SCUBA
65 divers (i.e. Goffredo et al. 2010; Holt et al. 2013). However, the use of a citizen science approach for studying
66 plankton is not frequently chosen as a tool, compared to the number of classical sanitary monitoring programs
67 for plankton existing worldwide. Nevertheless some examples of the use of a citizen science approach to study
68 phytoplankton exist. Volunteer HAB monitoring programs were launched along the west coast of United States
69 in the early 1990's (Anderson et al. 2001) and more recently along Canada's west coast (McIntyre et al. 2013) in
70 response to the onset of human poisoning syndrome (ASP and DSP) events. In 2001, the Phytoplankton
71 Monitoring Network (PMN) was established by the National Oceanographic and Atmospheric Administration of
72 the United States department of Commerce (NOAA), initially as part of South Carolina's *Pfiesteria* Harmful
73 Algal Bloom (HAB) surveillance program. The scientific objectives of all these programs concern the
74 distribution, phenology and managing of toxigenic species. As for water discolorations, structured management
75 systems implementing a citizen science approach are not common. An example exists in northern Europe, but it
76 is mainly focused on the identification of marine cyanobacteria blooms (e.g. Kotovirta et al. 2014) and not on
77 eukaryotic microalgae. Other citizen-monitoring programs, whose main goal is the detection of changes in sea
78 colour, have been adopted (i.e. the Citclops project (<http://www.citclops.eu>), or Plymouth University's Secchi
79 App – (ref <http://www1.plymouth.ac.uk/marine/secchidisk/Pages/default.aspx>). Indeed, people directly (beach
80 activity owners, aquaculture fishermen, scientists) or indirectly (environmentally-aware citizens, non-profit
81 organizations) concerned by water discoloration phenomena point out the absence of a clearly identified
82 interlocutor (institutional or non-government organization) who could receive observations and process the
83 biological and ecological information in order to better manage these phenomena. This shows the need for a
84 structured management system for water discoloration events, coupled with better awareness-raising about the
85 causes and the effects of water discolorations on humans and the environment.

86 In 2013, a citizen monitoring program focused on water discoloration observations was launched across
87 Brittany (France) waters in parallel to the ongoing phytoplankton and biotoxin monitoring network REPHY.
88 This project, named *Phenomer* (an acronym for 'visible phenomena at Sea', combined with 'Phenology', in
89 French) (<http://www.phenomer.org/>) has been put into practice by both public scientific institutes and non-

90 governmental organization partners (Curd et al. 2014). Beyond communication and outreach objectives on
91 phytoplankton and HABs, the overall aim of *Phenomer* was to introduce citizens to HAB management and
92 scientific analysis. From a marine coastal management point of view, *Phenomer* creates a working relationship
93 between volunteers and researchers, with the aim of improving and structuring the observations of water
94 discolorations. Indeed, the major objective of *Phenomer* was to establish if a citizen science approach aimed at
95 the detection of water discolorations may complement sanitary monitoring systems (such as the REPHY in
96 France) which were not specifically conceived for the analysis of such a phenomena
97 (<http://www.phenomer.org/>). Information gained through *Phenomer* could also contribute to the economic
98 development (shell farms, tourisms) in coastal areas where water discolorations are less frequent or absent. From
99 a scientific point of view, *Phenomer* aims to explore the possibility to acquire scientifically valuable data on
100 water discoloration and HABS in general, in particular by means of citizen alerts and with both ecological and
101 sociological scientific purposes. Through the help of volunteer participation, *Phenomer* aims to i) extend the
102 monitoring survey area for coastal water discolorations and structure their observations, ii) identify general
103 trends where HABs and water discolorations in particular are more likely to occur, thus providing scientific data
104 for current and future research, iii) promote an increased awareness and education of the public on HABs, and
105 iv) analyze the perception of visible phytoplankton blooms by inquiring in what way contributors perceive the
106 harm (i.e. environmental, human health, personal) provoked by these phenomena. This study reports the results
107 from the first three years (2013-2015) of this project.

108

109 **2. Materials and Methods**

110 *2.1. Communication and outreach on the project*

111 The understanding of a project subject and the acquisition of scientifically valid data through citizen
112 participation are directly dependent on communication actions through appropriate media (Wehner 2011). In
113 order to recruit a broad spectrum of participants to the *Phenomer* project, a number of procedures were
114 developed. A project coordinator together with a scientific communication officer were assigned the
115 development and distribution along Brittany's coastline of 30 000, 32 000 and 38 000 leaflets and 1000, 800 and
116 700 posters presenting the *Phenomer* project in 2013, 2014 and 2015 respectively. The communication on the
117 project objectives was stepped up prior to the summer period of each year, when water discolorations were

118 presumed to occur more frequently. Stickers, postcards (1000 prints of each per year) and a board game for
119 children in 2013 were also developed to ensure the best possible attentiveness to the project. Lectures, interviews
120 on radio shows, articles in the regional press as well as meetings with volunteers at a number of marine events
121 across Brittany over the course of the summer months were organised. A website (<http://www.phenomer.org/>),
122 and social media page were also developed and regularly updated. Finally, in 2015, a smartphone application
123 (Phenomer©) (Supp. Fig.1) was developed to facilitate the sending of water discoloration photographs taken by
124 citizens thanks to immediate geolocalisation. The geolocalised picture is sent to the scientific team of the
125 consortium via the application, which improves the rapidity of the intervention in the field.

126

127 *2.2 Warning protocol of water discoloration detections by volunteers*

128 Citizens were invited to report and take pictures of all HAB events they may have observed (water
129 discolorations, foam production or fauna mortalities) across Brittany's coastal waters, either by calling a land-
130 line, using the smartphone application, or via an on-line form present on the website (Fig. 1). A group of
131 scientists from the three research institutes in the partnership filled out a duty roster on a weekly basis, during
132 which time they committed to responding to all *Phenomer* alerts as quickly as possible. Observations made by
133 the general public were analyzed by the on-duty scientist in order to filter out false alerts, such as macroalgae
134 accumulations, river runoff, etc. (Fig. 1). It was part of the scientists' responsibilities to respond to any email or
135 phone correspondence related to the project he or she received during the week they were on-duty. Some
136 valuable information could be obtained over the phone, for example establishing whether the observed
137 phenomenon was provoked by macroscopic organisms (visible to the naked eye, e.g. small jellyfish, other
138 gelatinous zooplankton, macroalgae) or by inorganic particles (e.g. sand). On the occasions where the
139 information provided made it plausible that the water discoloration was caused by phytoplankton, either the
140 research team of the project network located closest to the bloom promptly reached the area and took a sample,
141 or the volunteers were asked to follow a sampling and storage protocol (Fig. 1). In 2013, few samples of water
142 discoloration were collected (Table 1), either because water discolorations occurred in areas far away
143 geographically from phytoplankton research laboratories, which limited the rapidity of the intervention of
144 scientists, or because citizens were not able within a short time-frame to transport samples themselves to the
145 nearest research station. In order to overcome this problem, as of 2014 a network of nearly 60 relay structures

146 was created to make it easier for observers to deposit samples somewhere in the vicinity of the observed
147 discoloration. These relay structures are environmental organizations, port and town authorities, water sport
148 clubs, lifeguarding stations or natural protected areas that formally accepted to take part in the project. They
149 were trained by the *Phenomer* scientific consortium (scientists and Non-Governmental organisations) to the steps
150 to take in the event of receiving a water sample taken by an observer (i.e. seawater fixation, preservation and
151 expedition to a scientific laboratory). Once a sample arrived in a relay structure, it was then picked up and
152 analyzed by the nearest laboratory to the water discoloration event (there are 6 dotted around Brittany's 2730 km
153 of coastline). The species responsible for the bloom was identified through light microscopy by a taxonomist of
154 the *Phenomer* consortium. When samples were not collected, the species responsible for the water discoloration
155 was inferred either from data of the REPHY monitoring program or from data of ongoing scientific research
156 projects in areas near the water discoloration event. Feedback was both spread to the scientific community for
157 further research and to the general public on the website, via social media and newsletters (Fig.1). When a toxic
158 species was identified as being responsible for the bloom, the REPHY, the French phytoplankton monitoring
159 program, was informed and precautionary actions were initiated. Citizens were privately thanked by personal
160 direct communication and, with their consent, when using their photographs in *Phenomer* communication
161 medium (Fig. 1).

162 Fig. 1 here

163 2.3. Analysis of water discoloration perception by citizens

164 During 2013-2014, a series of 13 preliminary semi-structured phone interviews were carried out with those
165 observers having accepted to be interviewed. The preliminary results from these interviews made it possible to
166 outline a typical profile of the people taking part in *Phenomer* and to construct an *ad-hoc* questionnaire adapted
167 to this population (Supp. Materiel 1). Composed of a dozen questions, the questionnaire cross-examines the
168 context in which the observation is made, what observers feel in relation to these phenomena and whether,
169 according to them, HABs are likely to impact human health and/or the environment in either a good or a bad
170 way. This questionnaire was then taken by water discoloration observers in 2015. All participants were informed
171 of the data protection legislation protecting their personal data rights, in compliance with the CNIL (*Commission*
172 *Nationale de l'Informatique et des Libertés*) (CNIL 2016), the French committee responsible for ensuring that
173 information technology complies with national data protection legislation. Participants submitting a

174 phytoplankton bloom observation via the online form or Smartphone application are asked to tick a box
175 certifying they have read and understood the "*charte d'utilisation*" (terms of use), which serves as a statement of
176 informed consent, explaining their rights regarding personal data. When participants reported their bloom
177 observations over the phone, they were informed of their personal data rights over the phone, and a log was kept
178 of the conversation. All participant consent is recorded in the back office of the *Phenomer* website
179 (ww.phenomer.org) where a database kept track of every phone call and completed form. The CNIL approved
180 the type of data collected on participants, and manner in which collecting participant consent is recorded (ref.
181 number 1684966 v0).

182

183 **3. Results**

184 *3.1 Citizen observations of water discoloration phenomena*

185 During the spring-summertime periods (March-August) of 2013, 2014, and 2015, the means of contact most
186 used for *Phenomer* was the internet site, with an increasing number of visitors over time (2621 in 2013, 6987 in
187 2014, and 11044 in 2015). During the three years of project implementation citizens made 69, 74 and 88 mostly
188 one-off alerts either by phone, smartphone application or via the website form (Table 1). Out of these alerts, 40,
189 40 and 47 observations reported by citizens were phenomena potentially linked to plankton. The other contacts
190 were mostly general enquiries about various marine phenomena observed in the intertidal zone (macroalgae,
191 bioluminescence, even nudibranch [*Aplysia* spp.] egg-ribbons). These general inquiries are a normal part of any
192 citizen science program. After discussions by phone with the observers, sample collections and/or data
193 comparison with the REPHY network or other research programs, respectively 24, 40 and 30 observations were
194 considered to be valid scientific observations and potential phytoplankton water discolorations (Table 1). The
195 discarded observations were put aside either after viewing the photos provided and/or talking with the observer.
196 Some were observations of macroalgae. Others either had inconclusive pictures, descriptions more closely
197 resembling zooplankton aggregations, concerned brackish lagoons or were located outside of Brittany in areas
198 not covered by the *Phenomer* network. Many of the observations made by citizens were reported in areas outside
199 the routine phytoplankton monitoring perimeter. In 2013 (10 observations), 2014 (31 observations), and 2015
200 (15 observations), respectively 42%, 77%, and 50% of potential discolorations phenomena occurring in Brittany
201 were not reported in the frame of the routine phytoplankton monitoring system (Table 1). Out of these potential

202 water discoloration phenomena, 14, 32 and 28 could be sampled and definitively proved to be water
203 discolorations caused by massive phytoplankton development (Table 1). The remaining 10, 8 and 2 observations
204 could not be sampled either because citizens contacted the *Phenomer* program too late or the blooms
205 disappeared, or because the phenomena occurred in remote areas, far from research centers where samples could
206 be quickly deposited. This second bias occurred especially in 2013 (10 water discolorations not sampled) before
207 the setup of relay structures. The confirmed phytoplankton blooms corresponded to red, green, and dark brown
208 discolorations; most of which were observed during July-August. In 2013, 2014 and 2015 respectively, 7, 24 and
209 14 phenomena were observed outside of routine monitoring points (Table 1).

210 Table 1 here

211 *3.2 Citizen perception of water discolorations resulting from semi-structured phone interviews*

212 During the 2015 season a total of 25 questionnaires were completed. About 80% of *Phenomer* observers were
213 already informed about the HAB phenomena taking part in the program, and out of these 60% had already
214 observed phytoplankton under the microscope. Observers were then asked to give their opinion about their
215 perception of how frequently water discolorations occur. The majority (52%) of observers considered water
216 discoloration events to occur sporadically, 32% considered them to occur regularly, 12% considered these events
217 to occur frequently, 0% felt them to occur more rarely and 20% felt unable to answer the question because of
218 insufficient knowledge. The vast majority (83%) believed water discolorations to have an environmental impact,
219 in both a harmful and a useful way (Fig. 2A). To a lesser extent (25%), interviewees felt that seawater
220 discolorations have economic impacts and impacts on human health. Few people considered water discoloration
221 harmful from a personal or aesthetic point of view. Observers were driven to report water discolorations events
222 out of environmental awareness (Fig. 2B), to help the program, or to understand the origin and effects of bloom
223 phenomena. People wanted to understand more about water discoloration whether in relation to its effect on
224 mankind, the environment, or in general, in near-equal proportions. No observers wished to complain about the
225 presence of water discolorations.

226 Fig. 2 here

227 *3.3 Observed water discolorations in relation with monitoring activities*

228 Red discolorations were regularly observed during the three years of project implementation from May to
229 August from at different locations in southern Brittany's coastal waters, across an area of about 150 km in 2013
230 and 130km in 2015 (Fig. 3, Fig. 4A, C). Red discoloration phenomena were mostly restricted to the shoreline or
231 to the first hundreds meters of the coastal waters (Fig. 4A). Across the three years, 14 over the 28 observations
232 (50%) occurred in areas not monitored by the sanitary monitoring system (Table 1). Given their small surface
233 area, they were mostly observed by tourists on the shore or people working in coastal waters. They were always
234 linked to massive development and dominance in the phytoplankton samples of the protist *Noctiluca scintillans*
235 (200-1200 μm in size, Fig. 4B). In 2013, red discolorations were observed mostly in July-August. In less than a
236 week's time (21st – 26th July 2013), citizen observations were made almost simultaneously across an area of
237 more than 150km, between the Yeu (21st July) and Groix (22nd July) islands in areas not monitored by the
238 REPHY system (Fig. 3A) In 2014 and 2015 red discolorations attributable to *N. scintillans* discolorations were
239 reported in southern Brittany earlier in the summer, in June-July. No observations were made in August in 2014
240 and 2015 (Figs 3A, 3B).

241 Fig. 3 here, Fig. 4 here

242 Green seawater discolorations were the most frequent phenomena detected over the three years (Table
243 1, Fig. 3, Fig. 4D, F). All warnings corresponded to massive development in phytoplankton samples of the
244 dinoflagellate *Lepidodinium chlorophorum* (Fig. 4E). Green blooms of *L. chlorophorum* were observed in
245 coastal and off shore areas, with some localized and some very widespread discolorations. Across the three
246 years, 25 over the 36 green bloom observations (69%) occurred in areas not monitored by the sanitary monitoring
247 system (Table 1). In 2013 green discolorations were detected four times in a relatively restricted area (between
248 the Bay of Vilaine and the Loire River outlet), in a time window (from the 19th to the 30th of August) not
249 sampled by the REPHY monitoring (Fig. 3A). In nearby REPHY zones, on the 26-28th August, cell counts of *L.*
250 *chlorophorum*, during the green discoloration phenomena varied between 14 800 cells.L⁻¹ and 2.90 X 10⁶ cells.L⁻¹
251 (Le Croisic, Coupelasse large). In 2014, 24 observations of green water discolorations were reported almost
252 daily over a period of about one about month (from the 3rd to the 8th of August) across a wider area than in 2013,
253 throughout southern Brittany's coastal waters, remaining however more frequent in the Bay of Vilaine zone (Fig
254 3B). Discolorations were either limited to the shoreline and reported by beachgoers or largely extended off-shore
255 waters and were observed by amateur yachtsmen and light aircraft pilots. Off-shore phenomena were particularly
256 impressive due to their spatial extension (Fig. 5A). Discolorations occurred generally in surface waters, but a
257 green bloom was also reported by a scuba diver at 23m depth on the 26th July. When heavy water discolorations

258 occurred in coastal waters, 9 citizens out of the 24 observing green water discolorations, reported slimy, dense
259 waters, which emitted a pungent, unpleasant odor. From the 16th to the 19th of July, citizens reported fish (soles,
260 sand eels, weevers), jellyfish, mollusks and crab mortalities both in the water (Fig. 5B) and washed up on the
261 beach (Fig 5C). On five occasions (16th, 17th, 19th, 21st, 22nd of July) multiple warnings were received from
262 different locations, demonstrating both the amplitude of the phenomena and the concern of the citizens (Fig. 3B).
263 The highest cell concentration of the species, 7.5×10^6 cells.L⁻¹ was reported on the 22nd of July (Port Manez),
264 but it is likely that cell maxima during the episode largely exceeded this value. Due to this phenomenon, the
265 2014 season of the project has the highest number of warnings (Table 1). As in 2013, in 2015, green
266 discolorations were less frequent, less extended, and located mainly outside of the REPHY (Table 1). No event
267 was observed in August (Fig. 3C). Three observations were performed south of the *Phenomer* project's
268 perimeter, demonstrating that these phenomena also occur at lower latitudes.

269 Fig. 5 here

270 Other phenomena were observed beyond *N. scintillans* and *L. cholorophorum* water discolorations. On
271 August 12th 2013, two separate citizen observations of dark-brown discolorations were reported from separate
272 shallow locations in the Bay of Vilaine in zones not monitored by the REPHY network (Fig. 3A, Table 1). In
273 phytoplankton samples collected in both areas on the same day following citizen warnings, co-occurring blooms
274 of the marine-fauna toxic raphidophyte *Heterosigma akashiwo* (max 3.00×10^6 cells.L⁻¹) and the dictyochophyte
275 *Pseudochattonella verruculosa* (max 1.90×10^6 cells.L⁻¹) were observed. Seawater dissolved oxygen
276 concentrations at the sea floor in both locations were very low (4.9 and 5.5 mg L⁻¹), and at one site a mass
277 mortality of bivalves and small crustaceans was observed. Other brown discolorations were attributed to diatom
278 proliferations. On the 20th July 2014 a red discoloration observed in the Bay of Brest, in an area not routinely
279 monitored by the REPHY, was attributed to the massive presence (3.6 cells.L⁻¹ on the 21st of July, Penfoul) of the
280 toxic dinoflagellate *Alexandrium minutum* (PSP causative species) (Fig. 3B, Table 1). A pinkish/brownish
281 discoloration was observed by citizens on the 14th June 2014 in the Iroise Sea (Douarnenez Bay). Microscopic
282 analyses made it possible to attribute the discoloration to the massive presence of the dinoflagellate *Ceratium*
283 *kofoidii* (1.85×10^5 cells.L⁻¹, June 14th), associated to the presence of other species such as *Ceratium fusus* (1.26
284 $\times 10^4$ cells.L⁻¹) and *Leptocylindrus danicus* (3.00×10^4 cells.L⁻¹) (Fig. 3B). Two brown discolorations in 2014
285 from both the English Channel and the Atlantic waters corresponded to a mixture of diatom species with higher
286 concentrations of the species *Leptocylindrus danicus* (4.4×10^6 cells.L⁻¹, 16th May) and *Guinardia delicatula*
287 ($9,8 \times 10^5$ cells.L⁻¹, 16th May) among other diatoms (Fig. 2B). One event in 2015 was attributed to the bloom of

288 *Brockmanniella brockmannii* (Fig. 3C). On 9 March 2015 foams due the proliferation of *Phaeocystis* were
289 observed in the north-eastern part of Brittany, facing the English Channel (Fig. 3C).

290

291 **4. Discussion**

292 The citizen science program *Phenomer* deployed in Brittany from 2013 to 2015 represents the first structured
293 monitoring program of water discoloration phenomena in the area. It gives a good example of the validity of this
294 new approach for studying and managing HAB phenomena. The project was an opportunity for a first
295 sociological analysis of the audience and their motivation for reporting these phenomena. In addition, it provides
296 new information useful to analyse HAB species phenology and distribution. *Phenomer* has revealed itself to be
297 very complimentary to the ongoing French phytosanitary monitoring which was not designed for the detection of
298 water discolorations, and has more generally helped to clarify the possible contribution and limits of the citizen
299 science approach for plankton studies.

300

301 *4.1 Citizen feedback*

302 Citizens who took part in *Phenomer* had heard about project mostly through articles in the regional press and
303 through the internet website. The target audience of *Phenomer* was composed entirely of people frequenting the
304 Brittany coastline. Our questionnaire shows that people who took part in *Phenomer* were relatively well
305 informed and interested about the aims of the project. Most of the people who took part in the project had heard
306 about water discolorations caused by microalgae before the implementation of *Phenomer*. The majority (60%) of
307 the observations whilst the participants carried out their professional activities (i.e. fishing, performing
308 environmental analyses within Marine Protected Areas, etc.). However, during telephone discussions between
309 scientists and observers some clarifications were given, especially concerning health risks connected to water
310 discoloration phenomena. Often the difference between green macroalgal proliferations (i.e. *Ulva* spp.) and
311 phytoplankton blooms was explained. Massive proliferations of green macroalgae that recurrently wash up on
312 Brittany's beaches are a highly mediatized topic, and the difference between the two phenomena had to be
313 carefully explained in the *Phenomer* communication materials and during phone conversations.

314 The questionnaire submitted to the water discoloration observers in 2015 helped to better identify the
315 sociological profile of people participating to the project, as already discussed in other citizen science
316 approaches (Martin et al., 2016). These first results show that the audience most receptive to *Phenomer* was a

317 limited proportion of the total population frequenting the marine coastal zone. It was composed of people already
318 informed about the water discoloration phenomena and their development. Their knowledge of this natural
319 phenomenon drove them to call. They participated in the project because they wanted to know more about what
320 they observed and the effects, if any, on humans and the environment. Their wish to help scientists in
321 understanding triggering factors of water discolorations was particularly noticed. Water discolorations were not
322 perceived as harmful phenomena, and the people taking part in the project were not protesting about these
323 phenomena, but instead were people sensitive to and concerned by environmental issues. However, the profile of
324 people participating to *Phenomer* in 2015 has to be considered in the light of the absence of major, impressive
325 phenomena, and therefore as a typical *Phenomer* participant profile. In 2014, pre-analyses of people driven to
326 signal water discoloration phenomena included not only typical profiles of well informed and environmentally
327 concerned people, but also that of people alarmed by the repetitive and impressive green discoloration as well as
328 the fauna mortalities recorded in July. Hence, the intrinsic natural variability of the water discolorations and their
329 effects on the environment may trigger changes first and foremost in the people affected by, and aware of these
330 phenomena. From a broader perspective, the nexus between a scientific and sociological analysis of HABs
331 remains inadequate, and prevents the assessment of HAB real impact on human well-being (Wells et al., 2016).

332

333 *4.2 Ecological analysis of the water discolorations observed*

334 Despite only having been collected over a relatively short time period, and with an innovative approach for
335 phytoplankton bloom studies, our data sheds new light on the frequency and spatial and temporal extension of
336 water discoloration phenomena along Brittany's coastline. The first three years of the project running
337 demonstrate variability in the number, frequency and month of summer in which alerts are reported. If most of
338 water discolorations were signaled during the end of July and August, in 2015 red discolorations of *N. scintillans*
339 were observed mostly in June and the last observation was performed on the 16th of July. The reasons for this
340 variability are not found in a difference in the project implementation (i.e. communication efforts, scientific
341 engagement) but rather in meteorological conditions which affected both phytoplankton proliferations and the
342 tourist season. The end of summer 2015 was particularly cool and unsettled, with heavy rain at times, hampering
343 phytoplankton development as well as tourism along the north Atlantic and English Channel ("Met Office UK
344 Climate Summary - Summer 2015," n.d.). Water discoloration alerts can therefore vary from one year to the next

345 not because of bias in our citizen science project construction, but because of the intrinsic biological variability
346 of phytoplankton bloom phenology.

347 Most of the red discolorations observed during the three years of the project implementation are linked
348 to the massive presence of the protist *Noctiluca scintillans*. This species is generally considered as an aberrant
349 heterotrophic dinoflagellate, having significant morphological and biological differences with typical
350 dinoflagellates (diploidy, presence of tentacle, absence of condensed chromosomes) (Gomez et al. 2010).
351 *Noctiluca scintillans* can feed upon a large variety of preys (diatoms, dinoflagellates, copepod eggs and small
352 ciliates), but diatoms seem to be its preferred food source for proliferation (Gomez et al. 2010). Cells start
353 feeding and growing in the water column, then move to the surface, where the bloom is visible as a thin red
354 layer. Therefore the red visible discolorations caused by *N. scintillans* actually correspond to the end of the
355 species bloom (Harrison et al. 2011). Red discolorations are typical coastal phenomena as abundance of *N.*
356 *scintillans* decreases offshore. High concentrations occur along water fronts (Hesse et al. 1989) and wind
357 direction plays a role in concentrating the bloom at the shoreline (Harrison et al. 2011). Blooms of red *N.*
358 *scintillans* are common and well-known phenomena across all French coastlines, as they have been observed in
359 the English Channel (Boalch 1987), western Brittany (Le Fèvre & Grall 1970), the southern part of the Bay of
360 Biscay (Quevedo et al. 1999; Cabal et al. 2008) and the Mediterranean Sea (Métivier & Soyer–Gobillard, 1988).
361 Red discolorations are observed annually across Brittany waters, always through sporadic, *ad hoc* observations.
362 Despite being visible from an aircraft, studies at large spatial scales are still missing. Le Fèvre and Grall (1970)
363 studied a large bloom (20 nautical miles) which occurred on July 20th, 1967 off the western coast of Brittany
364 (Iroise Sea) and concluded that a surface convergence area due to an outflow of coastal water produced a large
365 bloom of *N. scintillans*. Observations reported by citizens during the summer 2013 within the framework of
366 *Phenomer* project provide a first structured assessment of *N. scintillans* discolorations across southern Brittany
367 waters and an estimate of their spatial extension. It is not possible to infer that all 2013 observations belonged to
368 a unique bloom and population. Single, separate blooms could have occurred locally and/or at different times.
369 Water horizontal transport coupled with wind direction (all 2013 events observed occurred with westerly winds)
370 have certainly contributed to accumulate *N. scintillans* cells, spotlighting red discolorations, either in
371 correspondence with frontal water masses, or in nearshore local embayment. Relatively low (max 3200 cell.L⁻¹)
372 cell concentration counts for a phytoplankton bloom event in areas near observed phenomena argue in favor of a
373 local, patchy accumulation of *N. scintillans* water discolorations across Brittany waters.

374 Green water discolorations caused by dinoflagellates are poorly scientifically documented (Honsell et
375 al. 1988; Jimenez et al. 1992; Sournia et al. 1992; Paulmier et al. 1995, Elbrachter & Schnepf 1996). The
376 dinoflagellate *Lepidodinium chlorophorum* has been recognized as one potential cause of these discolorations. It
377 is a naked dinoflagellate of the ‘green lineage’ of the dinoflagellate evolution characterized by the presence of
378 chlorophyll *b* as the principal pigment, which gives the green color to the dinoflagellate (Hansen et al. 2007;
379 Siano et al 2009). Green water discolorations caused by *Lepidodinium* spp. can be extensive and spectacular
380 phenomena reported from Italy (Adriatic Sea) (Honsell et al. 1988), the North-West of Spain (Jimenez et al.
381 1992) and the North Sea (Elbrachter & Schnepf 1996). In France, the species was reported along the West
382 Atlantic coast for the first time in 1982 (Lassus 1988). Since then, the abundance, extent, duration and intensity
383 of the blooms have seemingly increased, with large water discolorations being observed in the Bay of Vilaine
384 (southern Brittany) and in the Bay of Seine (English Channel, Normandy) until 1991 (Sournia et al. 1992).

385 As reported by Sournia et al. (1992), discolorations caused by *L. chlorophorum* were reported via
386 *Phenomer* as being gelatinous, mucous or slimy. Tourists and swimmers complained about the appearance and
387 consistency of seawater. Depending on the episodes and their locations, mortality of mussels, oysters, smaller
388 mollusks, shrimps, crabs, and smaller crustaceans, werelinked to a decrease of the oxygen availability due to the
389 decomposition of the phytoplankton biomass. Between 1982 and 1991, Sournia et al. (1992) correlated water
390 discolorations with riverine freshwater inputs. Cysts of the species were observed in water samples and daily
391 vertical migration of the species was observed with peaks in concentration varying throughout the water column
392 (Sournia et al. 1992). Discolorations during 1982-1991 were reported in the summer time, except for two
393 episodes which occurred in autumn (October and December) (Sournia et al. 1992). It is acknowledged that *L.*
394 *chlorophorum* can be an important component of the late summer-autumn phytoplankton community, as
395 reported in the English Channel (Napoléon et al. 2014). Episodes reported by citizens in 2013 and 2014 in the
396 frame of the *Phenomer* project confirmed that the Vilaine Bay and the Loire River output in southern Brittany
397 are high risk areas for *L. chlorophorum* blooms. 2014 observations yielded new information on the areas which
398 could be potentially affected by these phenomena, both to the north and to the south of the Loire River output
399 and the Vilaine Bay (Fig. 3B). Data collected in the frame of *Phenomer* suggest *L. chlorophorum* blooms can be
400 long lasting across southern Brittany waters. Continuous data (almost daily citizen alerts, 24 observations in
401 total) over a period of almost one month suggest that blooms of this species can last at least one month and that a
402 higher intensity of *L. chlorophorum* blooms can coincide with a fish mortality presumably caused by anoxic
403 conditions. Interestingly, *L. chlorophorum* was considered as not being ingested by the oyster *Magallana gigas*,

404 ,after in-situ observations carried out in the Bay of Vilaine, which suggest a potential negative effect on oyster
405 growth during bloom concentrations (Alunno-Bruscia et al. 2011).

406 Dark brown discolorations caused by diatoms, as those observed in the frame of the *Phenomer* projet,
407 are frequent phenomena reported worldwide. Other microalgae of the phylum Ochrophyta (Heterokontophyta)
408 are responsible for dark brown discolorations, including members of the class Raphidophyta and Dictyochophyta
409 to which *Heterosigma akashiwo* and *Pseudochattonella verruculosa* (ex. *Chattonella verruculosa*, Hosoi-Tanabe
410 S. 2007) belong respectively. Both species have been traditionally associated to fish mortalities (Hara and
411 Chihara, 1987, Yamamoto & Tanaka 1990; Hallegraeff & Hara 1995), but their toxic effect can potentially
412 extend to other marine organisms. Indeed *in vitro* experiments demonstrated that *H. akashiwo* may have lethal
413 and sublethal impacts on shellfish (Hégaret et al. 2011) and that rotifers died when fed upon *P. verruculosa*
414 (Chang et al. 2014). It is hard to discern if the episode of massive mortality of bivalves and small crustaceans
415 observed in 2013 is related to the high concentration of one or both toxic species, or to the anoxic conditions
416 which developed during the bloom.

417

418 *4.3 Citizen science as a complementary approach to phytoplankton studies and monitoring programs:* 419 *advantages and limits*

420 Citizen sciences offer the possibility to increase the frequency of observations/samples of a research program
421 providing relatively low cost-data (Jarvis et al., 2015). The example of *Phenomer* showed that the citizen science
422 approach may be applied to plankton data collection and analyses and that the information gained through this
423 method allows the acquisition of information that can be overtaken by routine plankton monitoring. The 61% of
424 water discolorations observed by citizens were sampled outside of areas covered by the REPHY French
425 monitoring system (Table 1). This monitoring network, as with all those classically used for sanitary purposes,
426 was not conceived for surveying and studying water discoloration. Hence, *Phenomer* demonstrated therefore that
427 a citizen science network can complete routine monitoring systems for structuring the detection and analysis of
428 water discoloration phenomena. In addition, *Phenomer* provided new scientific information and pointers for
429 studying the phenology of water discoloration causing species, as well as new information on the biogeography
430 of species capable of HAB events.

431 Our example of a citizen approach for getting information on plankton, allowed us to better discern
432 identification of the constraints and limits of such an application. Obtaining data on species responsible for
433 seawater discolorations, is dependent on: i) the frequency at which coastal zones are observed and subsequently
434 sampled with respect to other areas (see Jarvis et al., 2015 for the analysis of the importance of citizen science in
435 the marine spatial planning of coastal ecosystems), ii) the perception and identification of an ‘anomalous’
436 phenomenon (an unusual color of the sea) by the general public, iii) the awareness, responsiveness and sense of
437 civil responsibility of the citizens towards the marine environment and potential ecological risks, and iv) the
438 rapidity and the quantity of the analysis of bloom samples.

439 Despite communication efforts about water discolorations remaining constant throughout the three years
440 of project deployment and across the geographic Brittany territory, we cannot ensure that the *Phenomer* program
441 accounts for all discoloration phenomena occurring in Brittany. In the English Channel sector only a few
442 discolorations caused by diatoms were reported in 2014 and 2015. It is possible that other unreported visible
443 blooms may have occurred in this area. Indeed blooms of phytoplankton taxa exceeding for example from 1 to 7
444 million cells.L⁻¹ (*Chaetoceros* spp., *Dactyliosolen fragilissimus*, *Pseudo-nitzschia* spp., cryptophytes,
445 *Thalassionema* spp.) were detected in the northern as well as in southern stations of the REPHY network
446 (REPHY data). Yet, such high cell concentrations do not automatically correspond to water discolorations.
447 Conversely, seawater discolorations do not always correspond to phytoplankton high abundances. Seawater can
448 be colored for instance by pollutants (i.e. oils, petrol), sediment suspension, and other physico-chemical
449 properties. This aspect has been taken into account in the formulation of the protocol and always analyzed during
450 the interview made by scientists to the water discoloration observers. In some ecosystems, natural phenomena
451 (storms, rains, winds, currents, and river plumes) can contribute to re-suspend bottom sediments and increase
452 water turbidity, thus giving the sea a more or less dark/light brown color for example. The color of the sea that a
453 common citizen can perceive as ‘normal’ is a relative concept, strictly dependent on the ecosystems. People
454 living along stretches of coastline with clear waters can relatively easily identify a drastic change in the color of
455 the sea and react to this phenomenon. However, people living on coastlines with turbid coastal waters are used to
456 a light/dark brown appearance of the seawater. They may therefore have difficulties, first in perceiving
457 ‘anomalous’ dark brown discolorations and second in attributing them to phytoplankton bloom events. Only a
458 multiannual evaluation of the number and frequency of the alerts collected by *Phenomer*, coupled with an
459 interview analysis of the observers with respect to their ecosystems will smooth the bias linked to coast

460 frequentation and citizen reactivity, eventually allowing a complete census of all discoloration phenomena
461 potentially occurring across Brittany coastal waters.

462 The rapid analysis of a water discoloration sample and the correct taxonomic identification of
463 responsible species are needed for biodiversity and ecological studies on microalgae. Dark brown discolorations
464 may be caused by different algal species and even classes. Equally, different shades of red discolorations may be
465 provoked not only by *N. scintillans* but also by other dinoflagellates (e.g. *Alexandrium* spp., *Prorocentrum* spp.,
466 *Ceratium* spp.), ciliates (e.g. *Mesodinium rubrum*) and cryptophytes. Whilst it is true that few dinoflagellates
467 may cause green discolorations, other green-pigmented microalgal classes (Prasinophyta and Cyanophyta) are
468 known to frequently provoke green blooms. It is therefore incorrect and a potential risk to associate univocally a
469 color of the water to a single microalga. The collection of water samples and their analysis is of course time
470 consuming and may be discouraging, but it is a key component in the longevity and scientific validity of a
471 citizen science project addressing ecological and biodiversity questions on plankton. In the frame of *Phenomer*, if
472 the reactivity and the participation of people after a water discoloration observation was successful, the logistics
473 for ensuring a rapid and efficient sample collection were heavy. In 2013, 10 observed phenomena could not be
474 sampled. In the following years the set-up of the relay structure network revealed to be a good strategy to
475 overcome the problem and fewer phenomena (7 in 2014 and 2 in 2015) were overlooked. The down-sizing of the
476 study area, or a citizen science strategy based on a specific community of people (shellfish farmers,
477 environmental associations) rather than a very broad target audience such as that in *Phenomer*, could probably
478 help in the building trust and loyalty between researchers and citizens, which are the very foundations of a
479 citizen science approach.

480

481 **5. Conclusions**

482 Results obtained on the Brittany coast of France in the first three years (2013-2015) of deployment of the
483 *Phenomer* project show that our citizen science approach was very helpful for: 1) signaling water discoloration
484 phenomena not observed by the routine, national monitoring, thus showing the complementarity of these two
485 networks; 2) assessing a potential spatial extension of water discolorations, and particularly of those caused by
486 *N. scintillans* across southern Brittany; 3) giving a time frame to the duration of discoloration phenomena, in
487 particular of green ones caused by *L. chlorophorum* in the Vilaine Bay-Loire estuary area. As well as being of
488 regional interest, these data contribute to the study of global biogeography of harmful species and HAB events.

489 In addition, a first sociological profile of people concerned by water discolorations was elaborated.
490 People concerned by water discoloration phenomena were well-informed citizens, aware of and concerned by
491 environmental issues. Water discolorations are mostly perceived as an anomalous, alarming status of the
492 environment. However the sociological profile of people participating in such a citizen science project can vary
493 accordingly to the gravity of water discolorations occurrences and effects.

494 *Phenomer* has shown the potential of citizen science in research on phytoplankton ecology, biodiversity
495 and visible HAB detections and allows the identification of some recommendations. Future application of the
496 citizen science approach to plankton should: i) carefully evaluate, at the time of designing the study, how citizens
497 can help to attain scientific research objectives (information to be collected, sampling frequency, relay points to
498 deposit samples); ii) develop clear and simple communication medium presenting the research objectives and
499 sampling protocol; iii) target the community of people that could be more concerned by the problematic of the
500 project as a guarantee of citizen investment in the project activities. Beyond scientific objectives, the application
501 of a citizen science approach in the frame of a research project has the great unquantifiable value of
502 disseminating knowledge on microscopic organisms, and educating voluntary participants to environmental
503 issues connected to phytoplankton such as HABs. Citizen science could be a new method to helping HABs be
504 recognized as one facet of complex ecosystem interactions with human society. This approach, coupled with
505 research, monitoring, and management of HAB phenomena, could help to find local solutions to a global issue.

506

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521

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1 **Table and Figure Captions**

2 **Fig. 1.** *Phenomer* water discoloration reporting process flowchart (**single column fitting**)

3 **Fig. 2.** Citizen perception of water discolorations (A) and motivation to report their observations (B). (**single**
4 **column fitting**).

5 **Fig. 3.** Water discoloration phenomena reported by citizens in 2013, 2014 and 2015 and dominant taxa identified
6 by the phytoplankton taxonomists of the *Phenomer* consortium in water samples. Sampling stations of the
7 National Phytoplankton Monitoring System REPHY of Ifremer are also indicated. (**two column fitting**).

8 **Fig. 4.** Red discoloration pictures (A, C), caused by *Noctiluca scintillans* (B, scale bar: 50 µm). Green
9 discoloration pictures (D, F) caused by *Lepidodinium chlorophorum* (E, scale bar: 20µm). Pictures A, C, D and F
10 are taken either by citizens from the coast and or from aircrafts (C). (**1.5 column fitting**).

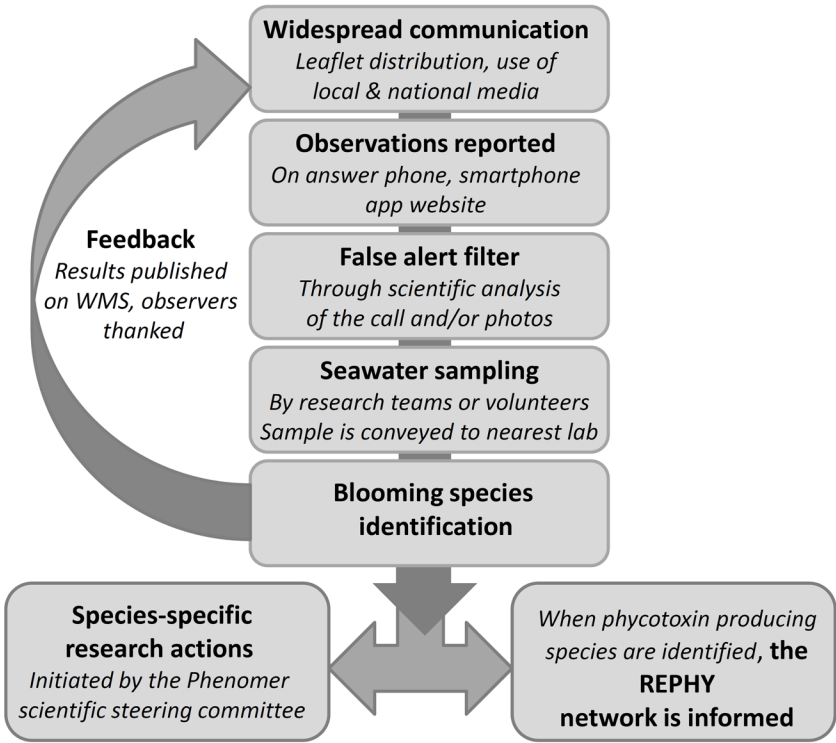
11 **Fig. 5.** Green discolorations and fish mortality in the Bay of Vilaine (South of Brittany) in 2014. (**1.5 column**
12 **fitting**).

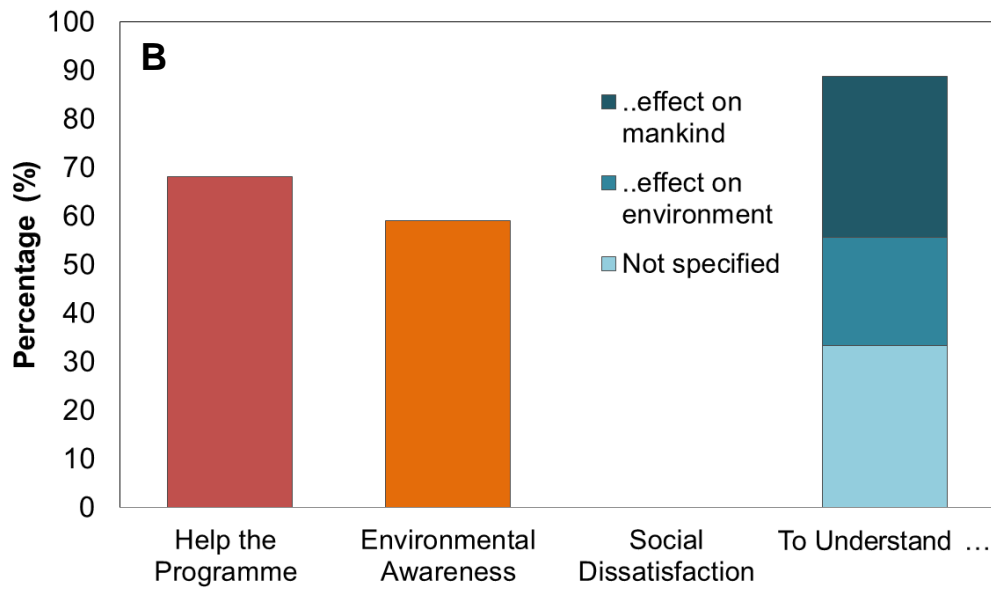
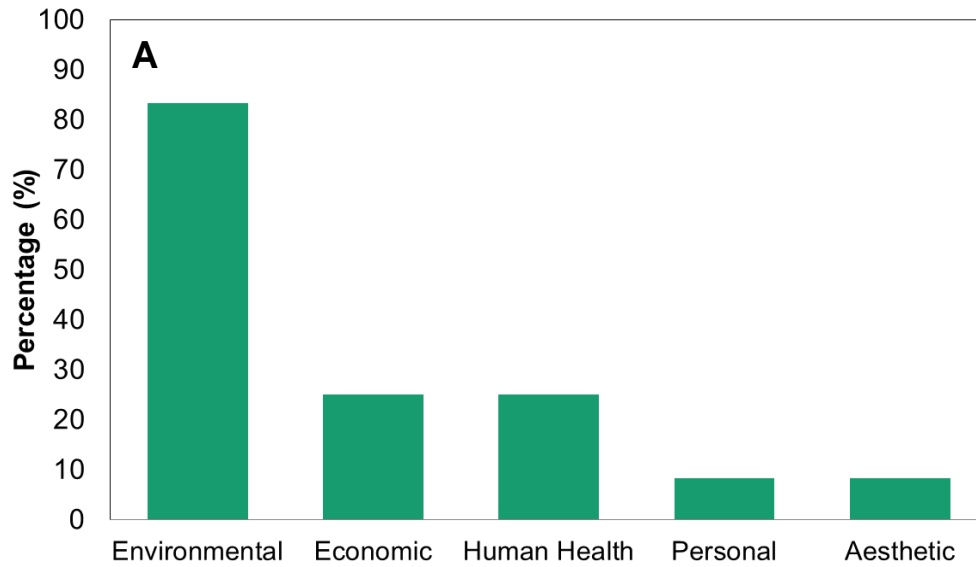
13 **Supp. Fig. 1.** Screenshots of the iOS *Phenomer* Smartphone application (available in French only). The third
14 panel illustrates the « nearest relay structures to you » function.

15 **Supp. Material 1.** Questionnaire taken by water discolorations observers either during phone interviews or via
16 the on-line form present on the website (French version online).

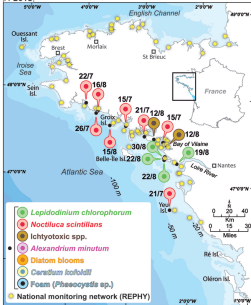
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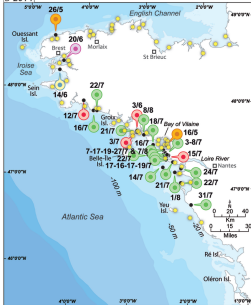




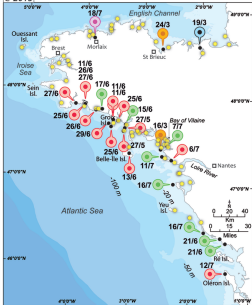
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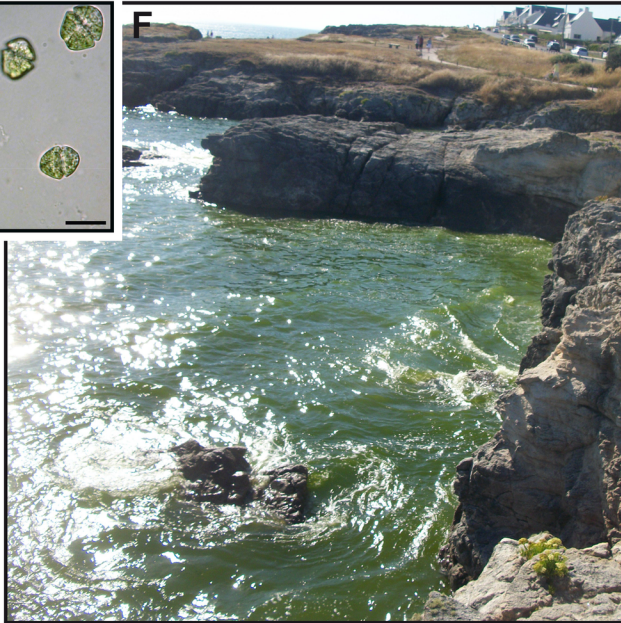
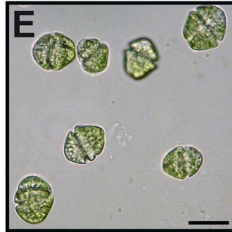
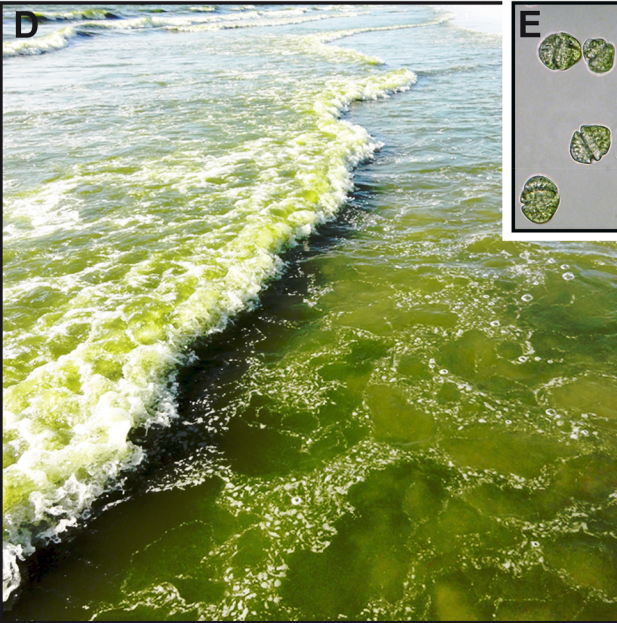
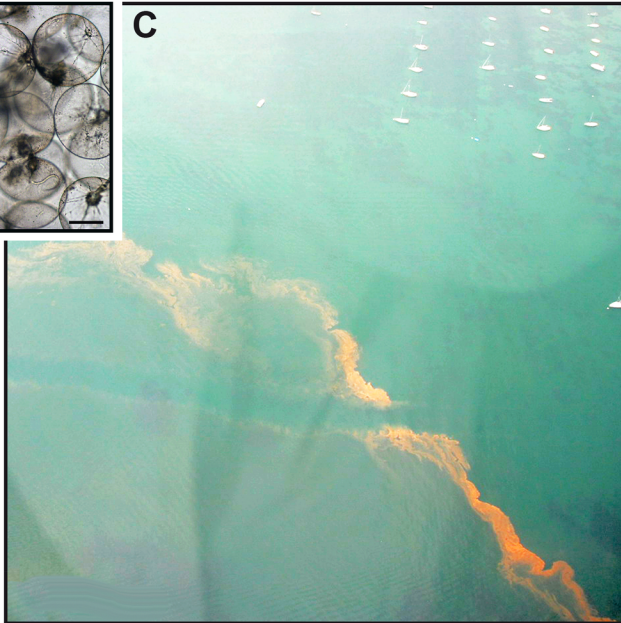
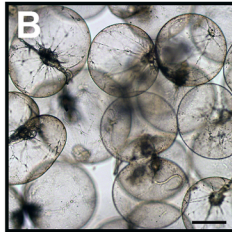
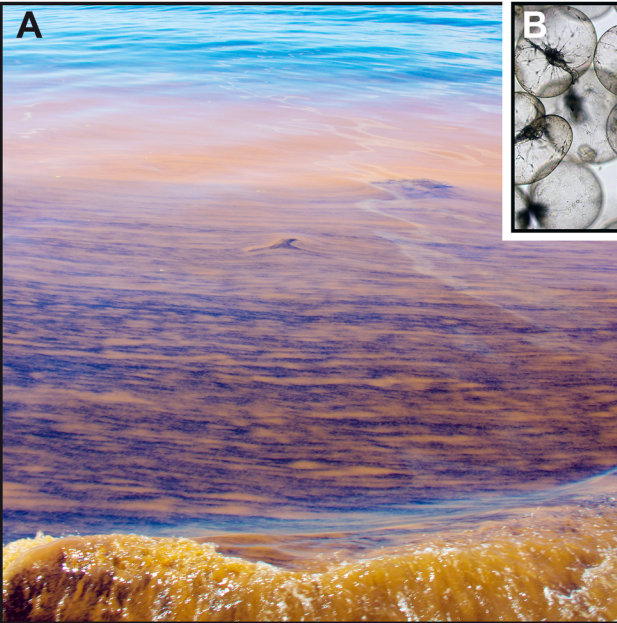


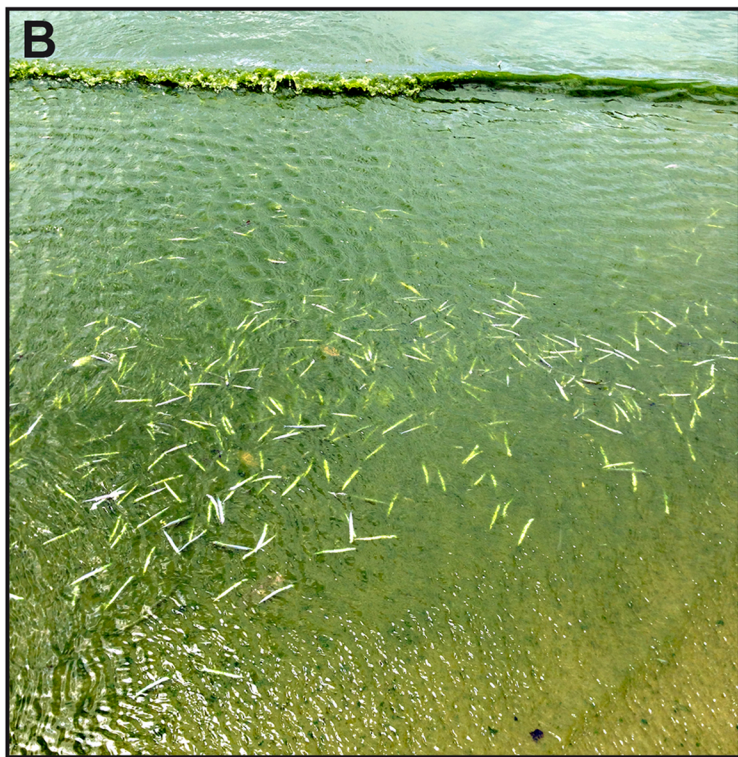
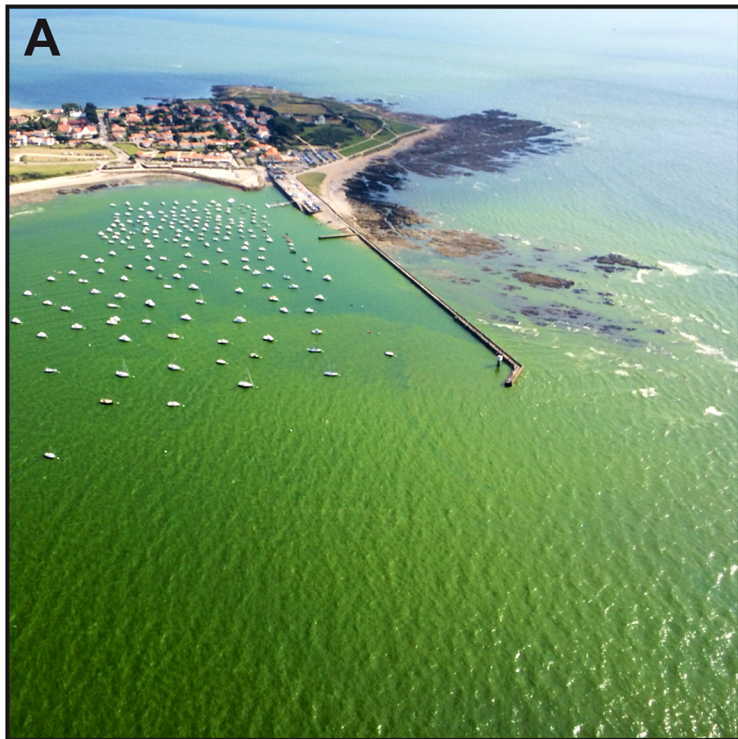
B 2014



C 2015







	Contacts	n. of different observers	Contact method	False alarms	Plankton-related observations	Potential water discolorations	Discolorations observed within the perimeter of routine phytoplankton monitoring	Discolorations observed outside of the area covered by routine phytoplankton monitoring	Water discolorations sampled	<i>Noctiluca scintillans</i> discolorations sampled via routine phytoplankton monitoring vs. Phenomer	<i>Lepidodinium chlorophorum</i> discolorations sampled via routine phytoplankton monitoring vs. Phenomer	Other discolorations sampled via routine phytoplankton monitoring vs Phenomer
2013	69	69	Voice mail: 29 Online form: 18 E-mail: 22	29	40	24	14	10	14	4-4	3-1	0-2
2014	74	68	Voice mail: 12 Online form: 51 E-mail: 11	34	40	40	9	31	32	1-3	6-18	1-3
2015	88	83	Voice mail: 32 Online form: 48 E-mail: 8	41	47	30	15	15	28	9-7	2-6	3-1

