

**Validation of an overall model describing the effect of  
three environmental factors on the apparent D-value of  
Bacillus cereus spores**

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► **To cite this version:**

Ivan Leguérinel, Isabelle Spegagne, Olivier Couvert, Stéphane Gaillard, Pierre Mafart. Validation of an overall model describing the effect of three environmental factors on the apparent D-value of *Bacillus cereus* spores. *International Journal of Food Microbiology*, Elsevier, 2004, pp.1-7. <hal-00654564>

**HAL Id: hal-00654564**

**<http://hal.univ-brest.fr/hal-00654564>**

Submitted on 22 Dec 2011

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1       **Validation of an overall model describing the effect of**  
2       **three environmental factors on the apparent D-value of**  
3               *Bacillus cereus* spores

4  
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10  
11       **ABSTRACT**

12       Several factorial models extending the famous Bigelow model to describe the influence of the  
13       heating and recovery pH and  $a_w$  conditions on bacterial heat resistance have been developed.  
14       These models can be associated in an overall multifactorial model describing the influences of  
15       heating and recovery conditions on  $D$  values. For *Bacillus cereus* strain ADQP 407 the model  
16       parameters characterising the environmental factor influences (pH, Temperature,  $a_w$ ) were  
17       evaluated. Determination of bacterial heat resistance in cream chocolate have been realised to  
18       validate these parameter values and to evaluate the level of the influence of food texture or  
19       different compounds not taken account of in the model.

20  
21       **KEYWORDS:**

22       Heat resistance, pH,  $a_w$ , *Bacillus cereus* , secondary model Bigelow model.

23 INTRODUCTION

24 Several environmental conditions influence bacterial heat resistance. In addition to the heating  
25 temperature, it has been recognized that pH and water activities of the heating and recovery  
26 medium are the main factors that affect the apparent heat resistance of bacteria (Esty and  
27 Bigelow, 1920; Esty and Meyer, 1922; Murrel and Scott, 1966; Cook and Gilbert, 1968;  
28 Harnulv et al., 1977; Lynch and Potter, 1988; Fernandez et al., 1996; Fernandez et al., 2002).  
29 Different multifactorial models which describe the influence of the heating environmental  
30 condition have been published (Davey et al., 1978; Reichart, 1994; Cerf et al., 1996;  
31 Fernandez et al., 1996) Mafart and Leguérinel (1998) and Gaillard et al. (1999) have  
32 developed an extension of the linear Bigelow model to the pH and aw influence Eq. 1.

33 
$$\log D = \log D^* - \left( \frac{T - T^*}{z_T} \right) - \left| \frac{pH - pH^*}{z_{pH}} \right| - \left( \frac{a_w - 1}{z_{a_w}} \right) \text{Eq. 1}$$

34 where  $T^*$  is the reference temperature (generally,  $T^*=121.1^\circ\text{C}$ ) and  $pH^*$  is the reference  $pH$   
35 fixed at =7,  $D^*$  is the  $D$ -value at  $T^*$ ,  $pH^*$  and  $a_w = 1$ ,  $z_T$  is the conventional thermal  $z$ -value,  
36  $z_{pH}$  is the difference of pH from  $pH^*$ , which leads to a ten fold reduction of  $D$ -value,  $z_{a_w}$  is the  
37 difference of  $a_w$  from  $a_w^* = 1$  which leads to a ten fold reduction of  $D$ -value. As the Bigelow  
38 model, this imbricate model, taking temperature, pH and water activities into account, is used  
39 to evaluate the decimal reduction ratio and the sterilization value ( $F$ - value) Mafart (2000).  
40 However, these models assume that the heat resistance is measured at optimal recovery  
41 condition and do not take the influence of the non optimal condition of the recovery media  
42 into account. It is well known that the count of survival bacteria after heating treatment is  
43 greatly influenced by the characteristic of the recovery medium: temperature, pH, aw and  
44 composition. When the recovery condition differs from the optimal condition both a decrease  
45 in the number of heated stressed cells capable of producing colonies and a decrease in the  
46 estimate decimal reduction time, are observed (Harris, 1963; Katsui et al., 1982; Mallidis and  
47 Scholefield, 1986; Feeherry et al., 1987). Recently, according to the same approach as that

48 adopted in the Mafart and Leguerinel model (1998), Couvert et al. (1999) and Coroller et al.  
 49 (2001), have developed similar Bigelow models to describe the influence of pH and water  
 50 activities respectively, of the recovery medium, on the apparent heat resistance of bacteria  
 51 Eq.2-3.

$$52 \quad \log D' = \log D'_{opt} - \left( \frac{pH' - pH'_{opt}}{z'_{pH}} \right)^2 \text{ Eq. 2}$$

$$53 \quad \log D' = \log D'_{opt} - \left( \frac{a'_w - a'_{wopt}}{z'_{a_a}} \right)^2 \text{ Eq. 3}$$

54 Where  $pH'$  or  $aw'$  are the  $pH$  or the water activity of the recovery medium,  $D'$  is the apparent  
 55 reduction time at  $pH'$  or  $aw'$ ,  $pH'_{opt}$  and  $aw'_{opt}$  correspond to the maximal  $D'$  value and  $z'_{pH}$   
 56 and  $z'_{aw}$  are the distance from the  $pH'_{opt}$  or  $aw'_{opt}$  respectively, which leads to a ten fold  
 57 reduction of the apparent reduction time  $D'$ .

58 Mafart and Leguerinel, Gaillard et al., Couvert et al. and Coroller et al. models can be  
 59 associated in an overall nested model which describes the influences of heating and recovery  
 60 conditions on the estimated  $D$  value of bacteria. This model (eq 4) can be used like the  
 61 Bigelow model to estimate the heat resistance and decimal reduction rate of bacterial  
 62 population.

$$63 \quad \log D = \log D^* - \left( \frac{T - T^*}{z_T} \right) - \left| \frac{pH - pH^*}{z_{pH}} \right| - \left( \frac{a_w - 1}{z_{a_w}} \right) - \left( \frac{pH' - pH'_{opt}}{z'_{pH}} \right)^2 - \left( \frac{a'_w - a'_{wopt}}{z'_{a_a}} \right)^2 \text{ Eq 4}$$

64 The aim of this paper is to obtain the model's parameters for *Bacillus cereus* spores and  
 65 validate these parameter values in the food product of chocolate.

66

## 67 **Material and methods**

### 68 *Micro-organism and spore production*

69 The strain of *Bacillus cereus* ADQP407 isolated from shrimp was obtained from the  
 70 ADRIA (France). Spores were kept in distilled water at 4°C.

71 Cells were precultivated at 37°C for 24 hrs in Brain Heart Infusion (Difco ). The  
72 preculture was used to inoculate nutritive agar plates (Biokar Diagnostics BK021) added with  
73  $\text{MnSO}_4$  40mg l<sup>-1</sup> and  $\text{CaCl}_2$  100 mg l<sup>-1</sup> on the surface area. Plates were incubated at 37°C for 5  
74 days. Spores were then collected by scraping the surface of the agar and suspended in sterile  
75 distilled water and washed three times by centrifugation (10000xg for 15 min) (Bioblock  
76 Scientific, model Sigma 3K30). The final suspension (about 10<sup>10</sup> spores ml<sup>-1</sup>) was finally  
77 distributed in sterile Eppendorfs microtubes and kept at 4°C.

78

79 *Thermal treatment of spore suspension and recovery conditions.*

80 In basic condition the heating medium was a tryptone salt broth ( 10gl<sup>-1</sup> tryptone  
81 Biokar and 10gl<sup>-1</sup> sodium chloride) at pH 7 with no sucrose added, the heating temperature  
82 was 100°C. The heating medium was sterilized by filtration. The influence of heating  
83 temperature was studied ranging from 95°C to 102°C, the heating pH ranging from 4.5 to 7  
84 adjusted with HCL and the heating water activities ranging from 1 to 0.92 were adjusted using  
85 sucrose. The previous molarities of the different solutes were determined using curves from  
86 model UNIFAC-LARSEN ( Achard et al. 1992). The a<sub>w</sub> values were controlled with an aw-  
87 meter ( FA-st1 GBX France Scientific Instrument).

88 Firstly, 30µl of spore suspension was diluted in 3 ml adjusted heating medium. Capillary  
89 tubes of 200 µl (vitrex) were filled with 100µl of sample, sealed, and submitted to a thermal  
90 treatment in a thermostated glycerol bath for different heating times. The heat treatment was  
91 stopped by cooling capillary tubes in water / ice bath. Then they were broken at both ends and  
92 their contents poured into a tube containing 9 ml sterile tryptone salt broth (Biokar  
93 Diagnostics) by rinsing with 1 ml tryptone salt broth.

94 The viable spores were counted by duplicate plating in nutritive agar (10g tryptone, 5g meat  
95 extract, 5g sodium chloride, 15 g agar for 1000ml water)(Biokar Diagnostic) and incubated at

96 37°C for 6 days. The recovery medium pH ranging from 5 to 7 was adjusted with sterile  
97 solution of HCl after autoclaving. The recovery medium water activity ranging from 1 to 0.95  
98 was adjusted with added sucrose. To adjust  $a_w$  values, the previous molarities of the different  
99 solutes were determined using curves from model UNIFAC-LARSEN ( Achard et al. 1992).  
100 Nutritive agar and sucrose solutions were sterilized separately by autoclaving to avoid the  
101 Maillard reaction. After sterilisation the two solutions were mixed, pH was adjusted to 7 and  
102  $a_w$  value was controlled.

103 The validation of the heating sensibility parameters had been realized by heating *Bacillus*  
104 *cereus* spores scattered in “chocolate cream” (pH: 6.76 and  $a_w$ : 0.968), included in capillary  
105 tubes

106

#### 107 *Experimental design and data analysis*

108 For each environmental factor studied a monofactorial experimental design was carried out. D  
109 values were determinate by linear regression on the straight portion of curves obtained when  
110 the log number of survivors was plotted against heating time. The sensibility parameters of  
111 the models “z” were fitted on experimental values using Excel software.

112

### 113 **Results and discussion**

114 For the strain of *Bacillus cereus* ADQP 407 studied, all survival curves present a log linear  
115 relation between the number of colony forming units and heating time. The classical D values  
116 were determined by linear regression. One example is presented Fig1. The whole set of data  
117 values is presented table 1.

118 The fitting of Bigelow model, related to the heating temperature, on the experimental D  
119 values (Fig 2), gives a  $z_T$  value equal to 7.1°C, which corresponds to the values currently  
120 given for *Bacillus cereus* spores (Bergere and Cerf, 1992).

121 The decrease of heating and recovery medium pH values reduces the apparent bacterial heat  
122 resistance. (Fig 3-4). The fitting of parameters (eq 1-2) and associated correlation coefficients  
123 were computed Table 2. The decrease in recovery pH medium ( $z'_{pH}$ : 2.18) appears to have  
124 more influence on the apparent heat resistance than a decrease in heating medium pH ( $z_{pH}$   
125 3.45).

126 The dominating influence of recovery pH medium has been observed for other bacterial  
127 species (Couvert et al., 1999; Couvert thesis 2002)

128 Regarding the water activity influences, a decrease in aw value leads to a thermo-protective  
129 effect (Fig 5). In the recovery medium heated spores show an apparent maximum heat  
130 resistance at an optimum close to 0.985. Under this optimal value, an increase in sucrose  
131 concentration reduces the bacterial heat resistance (Fig 6). The aw decrease of recovery  
132 medium ( $z_{aw}$ : 0.092) presents a more pronounced effect than the protective effect of heating  
133 medium ( $z_{aw}$  0.156).

134 These values correspond to the parameters determined for other *Bacillus cereus* strain with  
135 sucrose as the same water depressor (Coroller et al., 2001). For the different models the  $D^*$  or  
136  $D'_{opt}$  correspond to the  $D$  value evaluated to the reference or the optimal conditions  
137 respectively, and could not be considered the same. To get one and only  $D$  value, the overall  
138 equation ( Eq 4) was fitted on the whole set of data . The  $D^*$  value correspond closely to those  
139 determined at heating condition:  $T^*$ : 121.1°C,  $pH^*$ : 7,  $aw^*$ : 1 and the evaluated optimal  
140 recovery conditions  $pH'_{opt}$  and  $aw'_{opt}$ . The heat resistance parameters;  $z_T$ ,  $z_{pH}$ ,  $z'_{pH}$ ,  $z_{aw}$  and  $z'_{aw}$   
141 obtained in Table 3, show the parameter values determined from each monofactorial design.

142 Fig 7 illustrates the relationship between experimental and calculated  $D$  values

143 In food product the main factors that influence the apparent heat resistance are the  
144 temperature, pH and water activities. Moreover different compounds or food textures can  
145 influence the bacterial heat resistance. However, previous studies, not published, have shown

146 that these secondary factors had a low influence on the sensibility parameters “z”. The part of  
147 these factors is evaluated by the ratio between the experimental heat resistance determined in  
148 food and the corresponding calculated values.

149 This comparison is made on the heat treatment only on the one hand and, on the overall  
150 apparent heat resistance, heating and recovery on the other hand. Fig 8 shows the comparison  
151 of the experimental and calculated *Bacillus cereus* death kinetics determined in chocolate  
152 cream (pH 6.76 and aw:0.968). The figure and ratio 1.73, higher than 1, shown that the model  
153 taking temperature, pH and water activities into account, underestimates the bacterial heat  
154 resistance. However, on the overall apparent heat resistance heating and recovery, the  
155 experimental result confirms the calculated forecast concerning the overall apparent heat  
156 resistance (Table 4); after heat treatment at 100°C for 35 minutes no growth was observed in  
157 cream chocolate incubated at 37°C for 7 days.

158 The confrontation between the validation ratio and the food texture and composition could  
159 bring to the fore new factors or compounds that affect apparent heat resistance.

160

## 161 **Acknowledgement**

162 This work was carried out with financial support from the French Research Ministry and  
163 Sym'Prévious programme 01 T 0389.



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220 Legend of figure

221 Fig 1: log N versus heating time

222 Fig 2: Log D versus heating temperature

223 Fig 3: Log D versus heating medium pH

224 Fig 4: Log D versus recovery medium pH

225 Fig 5: Log D versus heating medium aw

226 Fig 6: Log D versus recovery medium aw

227 Fig 7 : correlation between experimentally log D values and theoretically log D values calculated  
228 from the overall model

229 Fig 8: comparison of the experimental (—) and calculated (---) *Bacillus cereus* death kinetics,  
230 heating in chocolate cream and recovery in nutritive agar pH7, aw1.

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235 Table of legends

236 Table 1 Effects of heating temperature, heating and recovery medium pH and aw on D-values (min) of  
237 *Bacillus cereus*

238 Table 2: models parameters

239 Table 3: fitting parameters on the whole set of data

240 Table 4: comparison of the experimental and calculated *Bacillus cereus* growth in capillary  
241 tube after heating and recovery in chocolate cream for different heating times

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Fig1

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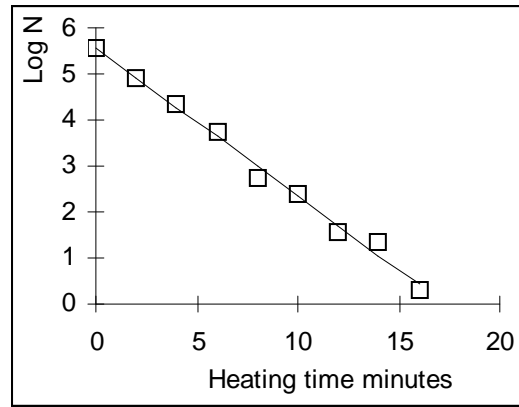
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Fig2

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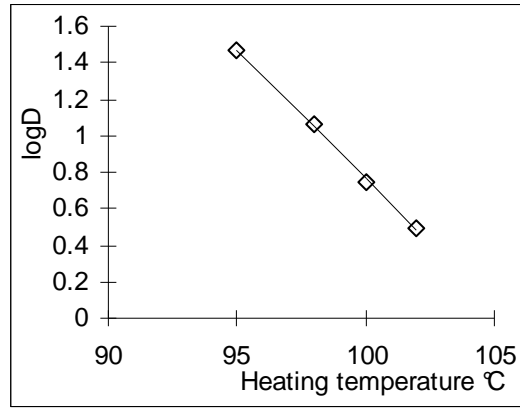
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Fig3

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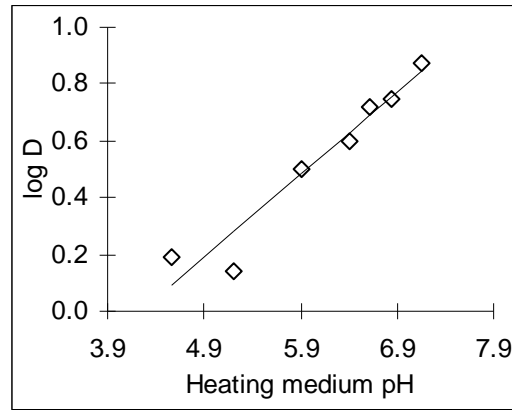
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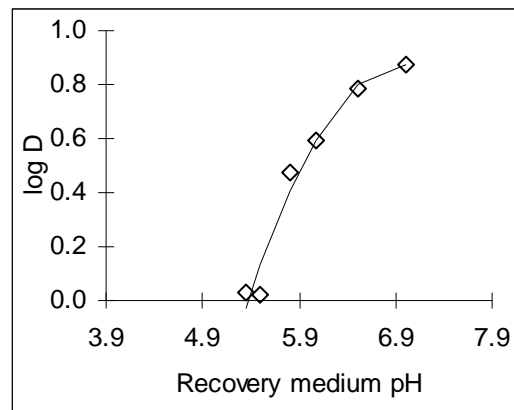
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Fig4





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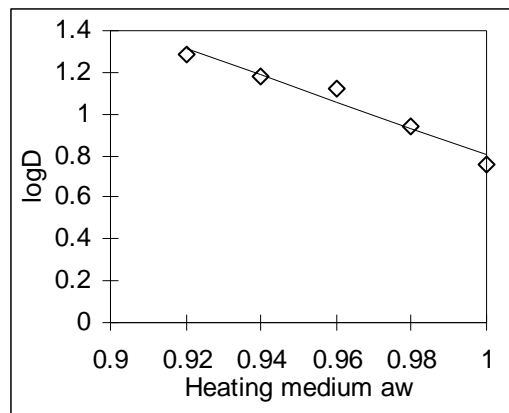
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Fig5



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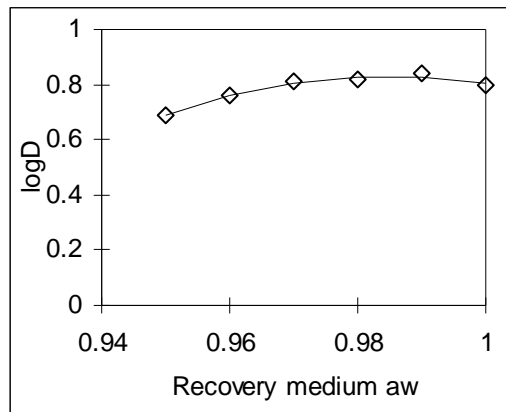
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Fig6



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Fig7

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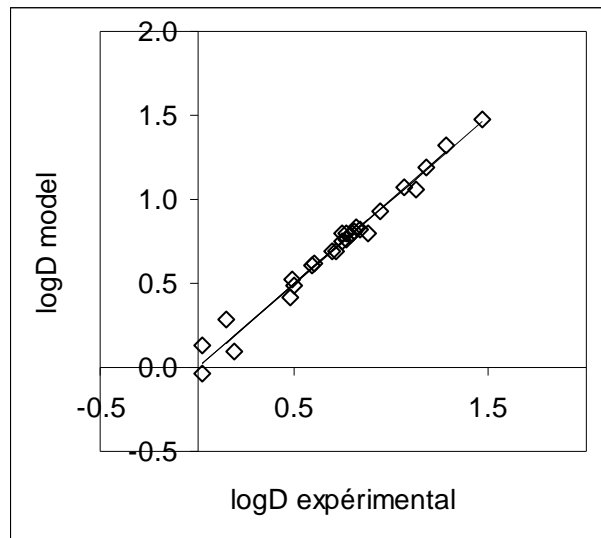
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Fig8

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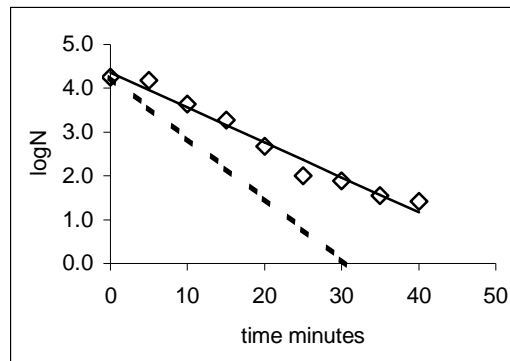
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| Heating temperature<br>°C | Heating medium<br>pH | Recovery medium<br>pH' | Heating medium<br>aw | Recovery medium<br>aw' | D value<br>minutes | Confidence<br>interval |
|---------------------------|----------------------|------------------------|----------------------|------------------------|--------------------|------------------------|
| 95                        | 7                    | 7                      | 1                    | 1                      | 29.52              | ± 2.31                 |
| 98                        | 7                    | 7                      | 1                    | 1                      | 11.54              | ±0.78                  |
| 100                       | 7                    | 7                      | 1                    | 1                      | 5.61               | ±0.46                  |
| 102                       | 7                    | 7                      | 1                    | 1                      | 3.11               | ±0.19                  |
| 100                       | 6.85                 | 7                      | 1                    | 1                      | 5.57               | ±0.57                  |
| 100                       | 6.62                 | 7                      | 1                    | 1                      | 5.22               | ±0.60                  |
| 100                       | 6.41                 | 7                      | 1                    | 1                      | 3.99               | ±0.32                  |
| 100                       | 5.92                 | 7                      | 1                    | 1                      | 3.14               | ±0.22                  |
| 100                       | 5.21                 | 7                      | 1                    | 1                      | 1.61               | ±0.19                  |
| 100                       | 4.56                 | 7                      | 1                    | 1                      | 1.89               | ±0.16                  |
| 100                       | 7                    | 7                      | 1                    | 1                      | 7.53               | ±0.73                  |
| 100                       | 7                    | 6.52                   | 1                    | 1                      | 6.06               | ±0.54                  |
| 100                       | 7                    | 6.07                   | 1                    | 1                      | 3.92               | ±0.44                  |
| 100                       | 7                    | 5.8                    | 1                    | 1                      | 2.98               | ±0.33                  |
| 100                       | 7                    | 5.5                    | 1                    | 1                      | 3.59               | ±0.37                  |
| 100                       | 7                    | 5.35                   | 1                    | 1                      | 1.04               | ±0.14                  |
| 100                       | 7                    | 7                      | 1                    | 1                      | 5.78               | ±0.48                  |
| 100                       | 7                    | 7                      | 0.98                 | 1                      | 8.71               | ±1.39                  |
| 100                       | 7                    | 7                      | 0.96                 | 1                      | 13.32              | ±1.67                  |
| 100                       | 7                    | 7                      | 0.94                 | 1                      | 15.07              | ±1.66                  |
| 100                       | 7                    | 7                      | 0.92                 | 1                      | 19.10              | ±2.31                  |
| 100                       | 7                    | 7                      | 1                    | 1                      | 6.55               | ±0.53                  |
| 100                       | 7                    | 7                      | 1                    | 0.99                   | 6.93               | ±0.61                  |
| 100                       | 7                    | 7                      | 1                    | 0.98                   | 6.58               | ±0.49                  |
| 100                       | 7                    | 7                      | 1                    | 0.97                   | 6.44               | ±0.60                  |
| 100                       | 7                    | 7                      | 1                    | 0.96                   | 5.79               | ±0.38                  |
| 100                       | 7                    | 7                      | 1                    | 0.95                   | 4.90               | ±0.47                  |

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Table1

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390

|                           | Values | r     |
|---------------------------|--------|-------|
| $z_T^{\circ\text{C}}$     | 7.09   | 0.999 |
| $z_{\text{pH}}$           | 3.44   | 0.967 |
| $z'_{\text{pH}}$          | 2.18   | 0.920 |
| $\text{pH}'_{\text{opt}}$ | 6.96   |       |
| $z_{\text{aw}}$           | 0.156  | 0.979 |
| $z'_{\text{aw}}$          | 0.092  | 0.989 |
| $\text{aw}'_{\text{opt}}$ | 0.985  |       |

391

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Table 2

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| Fitting on the<br>whole set of data |       |
|-------------------------------------|-------|
| D* minutes                          | 0.009 |
| logD*                               | -2.02 |
| z <sub>T</sub> °C                   | 7.32  |
| z <sub>pH</sub>                     | 3.48  |
| z' <sub>pH</sub>                    | 1.55  |
| z <sub>aw</sub>                     | 0.153 |
| z' <sub>aw</sub>                    | 0.088 |
| pH'opt                              | 6.78  |
| aw'opt                              | 0.983 |
| r                                   | 0.990 |

---

402

| Heating time<br>minutes | Tubes with observed growth | Calculated N value in tube |
|-------------------------|----------------------------|----------------------------|
| 20 min                  | 2 / 2                      | 54                         |
| 25 min                  | 2 / 2                      | 11                         |
| 30 min                  | 1 / 2                      | 2                          |
| 35 min                  | 1 / 2                      | 0                          |
| 40 min                  | 0 / 2                      | 0                          |
| 45 min                  | 0 / 2                      | 0                          |

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Table4